

Master Thesis

# Reinforcement Learning Applied to an Autonomous Drone for Follow-Me Behavior

Author: Amir Pliev

Student Number: 4123212

1st Supervisor: dr. ir. R.W. POPPE

2nd Supervisor: dr. A.A. SALAH

Degree: Artificial Intelligence

Faculty: GRADUATE SCHOOL OF NATURAL SCIENCES

May 17, 2021

Commissioned by:

Accelerating businesses with AI technology & experts

#### Abstract

The deployment of drones has become increasingly popular in a variety of new applications. Many of such applications require autonomous and adaptive behaviors, especially when tasks require the need for dynamic object tracking, such as follow-me behavior. In the past decade, many applications have seen drastic benefits from using machine learning methods such as Reinforcement Learning (RL). RL uses an animal conditioning based approach in computational tasks to learn new behaviors in specific domains. In this thesis, the implementation of an RL algorithm is trained and tested inside simulation environments, specifically for the task of a drone following a person. This algorithm, a Deep Q-Network (DQN), is tested using four different approaches. First, two changes to the DQN inputs have been proposed to help improve the training process and performance. These suggestions include the use of directionality of objects in its camera inputs using stacked image frames and the inclusion of depth information about its surroundings using depth maps. Tests have been run with these additions in two environments, each increasing in obstacle complexity. The results have shown that the use of stacked imaging resulted in improvements in environments where they relay valuable information to the agent about the objects in its view. Meanwhile, in environments where the task can be performed without it, they unnecessarily increase the state-space, resulting in degraded performance. Depth images have shown to be a strong improvements to each agent that used them, further reinforcing their strong simplifying capabilities and reduction of state-space. Second, the benefits of using RL compared to a static preprogrammed baseline have been evaluated. These tests have shown that RL allows for much more adaptive and flexible behavior, which is beneficial in each type of environment. Finally, the ability of RL agents to generalize behavior from simpler environments to a third, more complex environment has also been examined. This showed that the agents who were trained in an environment with obstacles, were able to transfer their knowledge to new similarly designed situations. Meanwhile, agents that had never seen an obstacle could not. These results show that the use of RL in the specific task of follow-me behavior or drones is a successful tool because of their adaptive and generalizable behavior.

# List of Figures

1	Example of a depth image	14
2	Agent-Environment interaction in RL	15
3	Overview of each element in the architecture used to per-	
	form the experiments in	22
4	The AirSim program features	23
5	The layout and obstacles for each individual environment	
	and the walking path of the person	24
6	Locations of each type of situation in the environments .	25
$\overline{7}$	Segmentation Map from AirSim	26
8	Network Architecture	27
9	Pipeline to process AirSim images in order to create a	
	state for the DQN agent	29
10	Experimental procedure that will be followed. The order	
	will be to start with the BlocksNormal environment, mov-	
	ing to the BlocksObstacles and ending with the Warehouse	35
11	Baseline reward distributions in each environment $\ldots$ .	37
12	Paths and episode ends of the baseline ends during 100	
	episode test runs for each environment	38
13	How the baseline loses sight of the person in BlocksObstacle	39
14	Training Process in BlocksNormal Environment	41
15	Reward Distribution of each agent in BlocksNormal envi-	
	ronment	44
16	Paths and episode ends of all the agents during a 100	
	episode test run in BlocksNormal	45
17	Stacked normal agent losing sight of the person	46
18	Training Process in BlocksObstacles Environment	48
19	Reward distributions of models trained in BlocksObsta-	-
2.0	cles environment	50
20	Paths and episode ends of all the agents during a 100	• •
01	episode test run in BlocksObstacles.	51
21	How the stacked normal agent crashes into the wall from	50
00		52
22	How the stacked normal agent loses the person	54
23	How the stacked depth agent loses the person	00 56
24 95	Daths and spiseds and of all the agents during a 100	90
20	Paths and episode ends of all the agents during a 100	EO
າເ	Use the stadied double scene source loss	00 50
$\frac{20}{27}$	now the stacked depth agent comes very close	99
41	with adjusted reward function. The collected rewards	
	have been measured using the normal reward function	ഔ
	nave been measured using the normal reward function.	0Z

28	Locations of each type of situation in the environments $\ .$	62
29	Training Process in BlocksObstacles Environment with	
	Adjusted Reward	75
30	Overview of paths in BlocksObstacles environment	76
31	Overview of paths in Warehouse environment	77

# List of Tables

1	Network Architecture of the DQN	27
2	Mapping from network output to actions	28
3	Average return of the baseline agent in each environment	
	and the corresponding degradation of performance com-	
	pared to the previous environment	36
4	Unsuccessful episode endings of the baseline in each en-	
	vironment during the test run	38
5	Average return of the agents and performance comparison	
	with the baseline in the BlocksNormal environments $\ldots$	43
6	Out of View of the agents in the BlocksNormal environ-	
	ment during testing	45
7	Average return comparisons of test runs in BlocksObstacles	49
8	All of the unsuccesful ends inside of the BlocksObstacles	
	environment test runs	52
9	Average return comparisons for the test runs in the Ware-	
	house environment $\ldots$ $\ldots$ $\ldots$ $\ldots$ $\ldots$ $\ldots$ $\ldots$ $\ldots$	57
10	All of the unsuccesful ends inside of the Warehouse envi-	
	ronment test runs	59
11	Average return of the retrained agent with an adjusted	
	reward function $\ldots$	61
12	Episode ends for the same agent trained using two differ-	
	ent reward functions in BlocksObstacles	63

# Contents

List of Figures 2				
List of Tables 3				
1	<b>Intr</b> 1.1 1.2	oduction6Goal7Limits8		
2	Lite 2.1 2.2 2.3	rature Review9Object Tracking Methods9Reinforcement Learning and Follow-Me Behavior10Reinforcement Learning Elements112.3.1Reward Engineering112.3.2Environment Selection122.3.3State-space Representation12		
3	<b>The</b> 3.1 3.2 3.3	ory15Reinforcement Learning15Q-Learning16Deep Q-Learning17		
4	<b>Rese</b> 4.1 4.2 4.3 4.4	Parch Questions20Directionality20Obstacle Avoidance20Baseline Comparison21Generalizability21		
5	<b>Met</b> 5.1 5.2	hods 22   Architecture 22   5.1.1 Simulation 22   5.1.2 Agent Structure 22   5.1.3 Agents and variations 28   5.1.4 RL Framework 30   Experiments 33   5.2.1 BlocksNormal 34   5.2.3 Warehouse 34		
6	<b>Res</b> 6.1 6.2 6.3	alts36Hardware36Baseline Performance36BlocksNormal Environment406.3.1Training Process40		

		$6.3.2  \text{Test Results} \dots \dots$	12
	6	.4 BlocksObstacles Environment	17
		6.4.1 Training Process	17
		6.4.2 Test Results	18
	6	.5 Warehouse Environment	56
		6.5.1 Training Process	56
		$6.5.2  \text{Test Results} \dots \dots$	57
	6	.6 Reward Functions Comparison	30
		6.6.1 Training Process	30
		$6.6.2  \text{Test Results} \dots \dots$	51
_			
7		Discussion 6	
	7	.1 Experiments and Research Questions 6	j4
		7.1.1 Directionality $\ldots$ $\ldots$ $\ldots$ $\ldots$ $\ldots$ $\ldots$	34
		7.1.2 Obstacle Avoidance 6	i5
		7.1.3 Baseline $\ldots$ $\ldots$ $\ldots$ $\ldots$ $\ldots$ $\ldots$ $\ldots$ $\ldots$	56
		7.1.4 Generalizability $\ldots \ldots \ldots$	57
	7	.2 Limitations and Future Work 6	38
	7	$.3$ Conclusion $\ldots \ldots .$	0
8	8 F	References 7	'1
I	<b>A</b> A	A Appendix A 7	
I	3 A	Acknowledgements 7	
		5	

# 1 Introduction

Drones are increasingly becoming ubiquitous throughout every day life [1]. Initially developed for military purposes, drone usage is increasingly moving towards everyday applications. From package delivery to calimity relief [2], the clear benefit of using drones is that they provide a safe tool for a variety of tasks that normally either endanger or encumber people. By automating these processes, dangerous jobs could be made safer. At the same time, the use of drones can also make new applications available that were previously unavailable, opening up new places where the utilization of drones could be helpful. Whether it is for automated maintenance [3], health care applications [4, 5], calimity relief [2] or even entertainment purposes [6], drones are becoming a part of a larger body of research that could provide interesting solutions to real-world problems.

One way in which drones can be used, is the tracking of objects [7]. The ability of a drone to keep an object within its field of vision is a type of behavior that can be used in a large variety of applications. Some already explored examples are the use of drones as a means of following powerlines and performing overall maintenance [3], or as a tool for guiding blind runners through their jog and helping them avoid collisions [4]. These applications require the drone to keep a certain object within their view and maintain this state, while performing an additional task. A crucial aspect of object tracking involves object localization, which can then be used to automate the process of keeping an object in its vision.

However, it is important to note, that the target object to be followed can bring with it different challenges and requirements for the development of the drone behavior. An important distinction herein is the difference between tracking a moving versus a static object. Additionally, following a person, with all of its dynamic movements and paths, brings with it different challenges than the following of more stable moving objects. At the same time, the applicability of drones being able to follow a person are wide. From the previously mentioned blind runners [4], to the filming of people from specific perspectives [8], there are multiple avenues that could be aided by automated person tracking. Therefore, investigating different manners in which to achieve person following behavior, henceforth follow-me behavior, is still an interesting topic to explore.

A subset of applications require, or benefit from, the ability of autonomous decision making by the drone. Not all real-world situations can be predicted and programmed into the drone in order to ensure correct behavior in each of them. The automated agent would be required to reason on what to perform in situations not anticipated by the developing team. The requirement of autonomy translates into the need for generalizability. The follow-me behavior is no exception. In order to fully be capable of keeping a person in its Field of Vision (FoV), the drone would be required to be able to extrapolate behavior to newly unforeseen situations, requiring a certain degree of generalizability.

This is where neural networks come into the picture. The recent rise of Deep Learning has shown that the utilization of machine learning is applicable in a large variety of different problems [9]. One of the advantages of using neural networks is their ability to generalize from training data to new previously unseen instances. One of such examples is the ability of an image classifier to correctly classify images that are not contained in the dataset that was used for its training. This generalizability is very well suited for the development of autonomy in robotic systems. The use of neural networks for the problem of object tracking is, therefore, still relevant.

Looking specifically at robotic systems and autonomous behavior, the application of neural networks in the field of Reinforcement Learning (RL) has also seen a tremendous boom in recent years [10]. The field of RL can be illustrated by the learning of behavior in a manner similar to that of humans. In essence, RL is a formalization of classical conditioning seen in animal behavior that works by allowing an agent to explore some action space and receiving a reward or punishment as a learning stimuli [11]. The agent adapts itself according to this reward and thus learns how to accomplish its goal. Giving an agent a certain amount of training and/or exploration time in an environment, the agent will train itself to map each state to a most preferred action. However, more complex environments where RL algorithms could provide a solution, require a large amount of data to be processed. This is where neural networks provide a valuable solution. Being driven by large amounts of data, they allow RL agents the ability to process a large amount of experiential data for their training. Furthermore, using neural networks in a context of RL also provides the ability to generalize to new situations not encountered during an autonomous agent's training time. These advantages of neural networks provide a good addition to the RL algorithm, giving it a robust ability to be applicable in many situations where autonomous behavior is required. The use of neural networks in RL problems, also called Deep Reinforcement Learning (DRL), can therefore be considered a useful paradigm to investigate its applicability in follow-me behavior.

### 1.1 Goal

This dissertation will investigate whether the use of Reinforcement Learning is a viable means to develop the desired follow-me behavior in an autonomous drone. Furthermore, the developed algorithm from this thesis is to be used to take control of a physical standalone drone outside of the simulation. In order to achieve this, this endeavour will be subdivided in four sub-problems. The first two will suggest improvements on RL methods that should help the training process and the resulting behavior. The first of these will be to see whether sensing directionality will improve the drone's behavior and the second will be to see whether depth sensing improves the behavior. Third, the ability of RL to perform generalization will be investigating. Finally, whether the use of RL is an added benefit compared to more straight-forward methods will also be studied.

The long-term goal is to implement such an agent on a stand-alone drone and to perform the follow-me behavior outside of the simulation.

# 1.2 Limits

The context in which this thesis has been written does impose some constraints on the possible implementations that can be considered. Having the goal for this agent to be implemented on a standalone drone means that the drone should be able to do all of the required behavior without relying on a connection with any external device. Many applications use the drone device only as a action taker, not as the device to make decisions. This is externalized to a local server or a base-station that performs the processing, which then signals to the drone to perform the actions. However, the aim for this model is to keep all of the processing on the drone itself. This aspect brings with it some problems that pose limitations on what type of models and agents can be considered.

The first of these limits is the carrying capacity that the drone can have. The computational power that the drone can carry and use to make decisions is limited. Moreover, the developed agent needs to be able to perform fast enough on the device in order to actively make decisions in real-time. Throughout this thesis, computational costs and inference times will be taken into account when deciding on what algorithms and agents to implement.

Additionally, in order to limit carrying capacity and computation, the RL state-representation for the agent should rely solely on camera inputs. No additional technology to aid in obstacles detections or decision making can be used. This means that each aspect of the state representation has to be formulated within these constraints. Again, these limitations are imposed as a means to reduce the amount of carrying load of the physical drone.

# 2 Literature Review

In this section we will discuss the relevant scientific literature about drone control using RL and methods to perform follow-me behavior.

# 2.1 Object Tracking Methods

The implementation of RL algorithms in the context of drone control is not novel [8, 12, 13, 14, 15, 16, 17]. Studies have shown that the use of RL in a variety of situations provide a number of benefits. First, with their experience-driven learning process, RL agents show a potential to handle complex and dynamic environments [15]. In studies where these agents have been allowed to learn inside of a variety of environments, they have been able to teach themselves adaptive behavior to solve a required task. Such abilities are beneficial, especially in situations where the tasks involve the need to track dynamic objects. However, the strong point here is that the need to predevelop all the behavior of an agent to solve a certain task is being replaced by the development of the learning environment. This not only removes the limitations which are posed by preemptively predicting what situation an agent might encounter, but also removes possible constraints in behavior that such an agent could form. RL agents are notorious for behavior is required, however, they can also be advantageous. In situations where the behavior needs to be adaptive and flexible, being able to find new ways in which to reach the goals can be beneficial.

Another advantage is that machine learning, and by extension RL, is able to generalize its learned behavior to new situations [17]. Generalizability is especially crucial when developing agents that should function in a variety of environments. In the context of dynamic object tracking, such a feature is critical since being able to predict every situation that a drone must handle is complicated. Having access to an agent that is able to deduce appropriate behavior in a multitude of new situations from the learning process is very relevant. This is also something which RL agents have been shown to be able to perform to some extent.

There have been other methods that have been applied in the context of tracking objects, or people specifically [19, 20, 21, 22]. These methods employ a variety of technologies to improve the object detection, object tracking and decision-making process capabilities of a drone. Additionally, they have shown to be able to fulfill the task successfully. Furthermore, the action-selection process is a straightforward goal oriented algorithm that focuses on making sure the target object is centered in its view. The additional technologies are introduced as a means to target behavior in irregular situations or to improve the overall stability and reliability of the information which this algorithm uses. The aforementioned advantages of using RL agents are still very applicable to the actionselection process in these suggested algorithms. The ability to be flexible and adaptive still provides robustness to an agent to new situations and could potentially also remove the need for more intricate technologies to be added to a drone altogether. For these reasons, research into what role RL could take in the development of agents that control drones in dynamic object tracking tasks is still relevant.

# 2.2 Reinforcement Learning and Follow-Me Behavior

Within the field of RL, there are a variety of algorithms to choose from to perform the learning process [23, 24, 25, 26, 27, 28]. Many of these are state-of-the-art algorithms in RL domains and have generally shown very promising results. However, the more foundational algorithm of *Q*-learning is still a widespread introductory method throughout a variety of exploratory studies [29]. Overall, *Q*-learning algorithms employ a tabular representation of the action-selection procedure, which is characterized by determining an appropriate action for each possible state. Such methods are simple to implement and provide ample insights into how an RL agent functions inside new domains. Next to this, when conditions are right, DQNs have shown to converge definitely to an optimum [30], therefore lending itself well to perform tests with in differing environments.

Many studies have explored methods to which RL could be used in the case of drone control [12, 13, 14, 15]. Nonetheless, a problem is that many of these studies have only investigated specific tasks to be learned by the RL agent. For example, one such task is the navigation through different types of environments [12, 14, 15, 31]. Others focus more on very specific movements of drone control, such as taking off and landing the device [32]. Many of these studies have shown the strong positive aspect of using RL in these tasks. There is still the questions whether the task of object tracking could benefit from RL algorithms, more preferably even: person tracking. There have been some efforts to investigate this issue [17, 33], showing promising results. However, RL is very sensitive to environmental variables. The definition of state-spaces, action-space and reward function are crucial aspects that determine what behavior will be learned and how well these algorithms are suited for such a task. Each of these aspects merit some further attention.

# 2.3 Reinforcement Learning Elements

The development of RL agents is synonymous with defining each aspect of the RL domain in which the agent will operate. Each of these will be discussed further.

#### 2.3.1 Reward Engineering

First and foremost, the reward signal is the foundation for the learned behavior in RL. The reward can be equated with the goal of the agent themselves, such that the problem is captured in a formal sense [11]. The definition of a reward function therefore becomes crucial in the process of training an agent with a behavioral goal in mind. However, defining the reward brings with it some challenges that need to be addressed. One such challenge is the trade-off between how much predetermined information is implemented in the reward function and how much is left open [34, 35]. The provision of this information happens in the sense that the reward function specifies some intermediary states as being more desirable compared to others, as to achieve a goal. Following this hierarchy, the agent is forced to learn the behavior in a specific way, confined to these constraints. However, the disadvantages of creating such an ordering is that it requires domain-specific knowledge about when an agent is closer to the goal or not. This imbuing of some predetermined knowledge in the reward function also blocks the agent from finding new ways to solve the problem and is a problem in situations where the set of permissible behavior is not known prior to training. Next to this, such reward functions are extremely sensitive to small mistakes in the order of states, leading to sub-optimal performance [36].

The alternative leads to a simplified reward function, where the goal states have been marked with a positive signal, and the remainder with a neutral or negative one. A problem here, however, is the sparse nature of the reward space. Having a small set of states which produce a positive signal in a larger state-space means that there are large swaths of states where no signal is given. This means that during the exploration of the state-space, it becomes harder for the agent to find states where a positive signal is observed. Next to this, the previously mentioned problem of unexpected behavior that RL algorithms suffer from also becomes more relevant [18]. With predetermined hierarchy in states, the freedom of an agent to develop completely new sets of behavior that still optimize the reward function is less likely to occur. However, with a sparse reward function, the agent is much more likely to develop unexpected behaviors.

Nonetheless, these advantages with using sparse rewards, combined with the latest technologies in improving the training processes of RL algorithms in such environments [37], does lend this method of reward engineering to new training avenues.

# 2.3.2 Environment Selection

The choice of environment in which the agent will be trained, is another important aspect for the problem definition. These can vary from either neighborhood type environments [14], to indoor hallways and rooms [12], to more simplistic abstract environments [13]. There is a clear trade-off between the use of simplified abstract environments compared to more complex environments. The degree to which a neural network is able to generalize is not endless and placing an agent in a completely different environment than which it was trained in, can cause issues in its performance. Additionally, using more specific environments also limit the agent's generalization capabilities, because of the specificity of the encountered situations during training time. Previous attempts at testing the generalization abilities of RL algorithms in complex situations. These tests have shown that there is a large potential for learned behavior to transfer to new environments [12]. However, there are a number of issues that are still unresolved. First, the environments that have been tested are mostly similar in complexity. Generalizability is especially interesting when training can be performed in more simplified environments and knowledge can be transferred to more complex situations. This problem is also dependent on the way the agent perceives the environment through its state input. Training agents with normal (RGB) camera inputs, as performed in this study, can bring the added problem of having the agent be unfamiliar with similar situations but differing color spaces. Therefore, there is still room to investigate whether other state-representation could potentially relate the same information without encountering these problems.

#### 2.3.3 State-space Representation

The manner in which the state is being represented is a crucial element in agent training [38]. As has been showed before, the state representation can be a problem when it comes to multiple aspects of the RL agents, including their generalizability [12]. Good state selection is the basis on which the agent can perceive its environment, but it also determines what information it can use for its decision-making. An important point is to make sure that the relevant information is being fed to the agent. Relevant information should include important aspects that influence the reward signal throughout the world. If the agent does not receive any input about important conditions that determine the reward signal, it is not able to change its behavior in order to optimize this signal. When specifically considering the task of follow-me behavior, the object to be tracked is

dynamic. This means that there are different movements from the target that can lead to obscure the target object. This thesis will specifically look at two options with which to improve the agent's ability to track such a dynamic object.

# Directionality

The dynamic movements of a person can lead to the person being obscured by obstacles. Obstacles such as corners and walls might be potential pitfalls for the drone with which it should be able to deal with. There is a need for the agent to be able to anticipate the movements from the target object, in order to reposition itself accordingly to avoid losing the person from its view. In this case, a sense of directionality of the person is what is required. For this, there are two ways with which to imbue the agent with this information.

The latest state-of-the-art approaches to this issue use Recurrent Neural Networks (RNNs) as a means for an agent to perceive some sense of change in time series inputs [17, 39]. RNNs are neural networks where the outgoing signal of a neuron or layer is used as an input for that same neuron or layer during the next pass-through. The specific structure of which activations are re-used as inputs vary with different techniques, but the overlapping feature is that the neural network receives the activations of previous inputs when performing a feed forward the network. This means that the network is able to gather patterns from combinations of inputs, instead of only one. In the context of RL, this means that the network is now capable of making decisions taking into account previous moments as well. In some applications, this has been used as a means for the model to sense the direction of a target object and decide on an action. An unfortunate disadvantage of using RNNs is that they are computationally more complex and exhaustive than CNNs [40]. This impediment not only reduces inference times, which could severely curb overall performance of the agent, but also reduces training times.

There is, however, a simpler more straight-forward approach, that can be used. Instead of changing the architecture of the underlying neural network, the state could also be represented as a video, or a stack of frames. Feeding such a stack of frames can also communicate the movements of the objects in a state. This approach can also be done in RL, as the video input of a couple of seconds can be used to feed forward through the network and is not an unfamiliar method. Basic RL problems have been solved applying this method [41] and it is a preliminary alternative to using more state-of-the-art approaches [42]. Such state-representations require minimal changes to architecture and methodology while still being able to communicate the required information to the agent.

#### Depth Sensing

Next to receiving information about the direction of the person, there is also the need to perceive the surrounding objects. To achieve follow-me behavior in environments with obstacles, the agent should receive some information about its position in relationship to objects in its vicinity.

There are multiple ways in which to communicate distances to drone devices [20, 42]. However, keeping to the constraints of using only camera inputs, as described in Section 1.2, the use of computer vision techniques is the most obvious method to solve this problem. One such technique is the creation of a depth map, as can be seen in Figure 1. Depth maps are constructed by representing each pixel by the distance from the camera to the object that is in that specific pixel. The resulting image, is one where an agent can perceive its distances to all the objects in its FoV. In this example, the darker the pixel, the farther away that object is.



Figure 1: Example of a depth image [43]

Even though there are multiple technologies that can be used in order to calculate these distances [44, 45, 46], they still have the similarity of culminating those distances in the form of a depth map. These can then be used in a variety of applications, one of which could be an RL agent.

Using depth maps as a state-input for RL agents has been performed before [13, 14, 42]. However, studies about whether the application of such depth maps in the context of drone control allow the agent to generalize better are still lacking. As described earlier, generalizability using RGB images can bring with it some problems that depth imaging could potentially solve. Furthermore, what the implications are for this state-representation in the specific task of follow-me behavior is also relevant.

# 3 Theory

In this section, the relevant theoretical background that is essential to the domain of Deep Reinforcement Learning will be introduced.

## 3.1 Reinforcement Learning

RL is a method that is mostly used in dynamic game-like environments where an algorithm receives control of some actions and is required to learn a certain behavior [11]. Examples of this can be seen where agents apply RL algorithms to Atari games and achieve relatively high scores on a selection of them [41]. Being a type of machine learning, it employs a means to map situations to actions according to the maximization of a pre-determined numerical reward function [11]. The main process of learning is to adapt a decision maker, referred to as an agent, according to experiences. Here, an action is performed after which a reward is given in the form of a scalar value by the environment. All the externalities that determine what state the agent is in, is defined as the environment. This reward is then used as a cue to alter the mapping from states to actions accordingly. Using this process allows the agent to learn from experience on how to act in order to maximize its reward signal. The foundation of RL is based on the collaboration between the agent and the environment. For each time step, the agent finds itself in a certain state. During this state, the agent has a certain set of actions that it can choose from. For each of these actions, there is an effect on the environment. After performing a chosen action, the agent finds itself in a new state, repeating the process of action-selection. This loop is illustrated in Figure 2.



Figure 2: Agent-Environment interaction in RL [11]

The essence of this algorithm is based on the environment's response to each action from the agent. During training time, the environment gives the agent a reward, possibly in the form of a punishment. The function that determines this reward signal, is in turn what the agent will try to

optimize and therefore, by definition, what the agent will try to learn [11].

To formalize this process, the agent takes a time-step t which is defined to be a single sequence of state retrieval, action decision and new state retrieval. This is also written as  $(S_t, A_t, R_{t+1}, S_{t+1})$ . In this sequence, the agent receives a state  $S_t$  on which it decides on an action a out of the possible available set of actions A using a certain policy  $\pi$ . Performing this action changes the environment and provides the agent with a new state:  $S_{t+1}$ . The environment also provides the agent with a reward  $r_t$ . By finding an optimal policy  $a = \pi(s)$  that maximized this reward signal, the agent learns new behavior. An important aspect to consider for the agent, is the consideration of future rewards as well, which is recorded in the return  $G_t$  of a certain action in a specific state. However, what actions will be chosen by the agent is dependent on the policy  $\pi$  that the agent employs. The policy is defined as a probability distribution over the possible actions for each state. Therefore, by defining an optimal policy that maximizes the return, the goal is achieved.

A crucial concept in RL algorithms is the balance between exploration and exploitation [11]. When the policy is completely directed towards exploitation, the agent will not explore many of the other possible actions that can be taken that could potentially lead to higher returns. Instead, it simply tries to maximize its reward signal as much as possible from what it already knows about the world. However, there are potentially new states that the agent could explore that could yield higher returns. It would therefore be wise to explore the state-space some more. There is a strong interplay between exploration and exploitation during the training time of an agent that determines how much reward the agent will eventually be able to gather. The policies which perform the best are the ones that are able to balance both of these strategies in order to maximize the overall returns.

# 3.2 Q-Learning

There are multiple ways in which to implement the learning algorithm in RL [11]. One of the more popular approaches, is the method of *Q*-learning. This method is characterized by keeping track of the value of performing each action during each state. Each action-state pair's value is defined to be the *Q*-value which is represented as the expected return for choosing an action *a* in state *s*, expressed as  $Q(s, a) = \mathbb{E}_{\pi} [R_t | s_t = s, a_t = a]$ . Intuitively, the expected return is the total sum of expected reward that will be collected by choosing this action and continuing from there onwards. This leads to the following simplified recursive equation:

$$Q(s,a) = r + \gamma \max_{a'} Q\left(s',a'\right) \tag{1}$$

The function of this equation in the context of Q-learning is, therefore, to update the Q-values throughout the learning process where different action-state pairs are being explored. Since the agent, when just beginning, is unaware of what the values are for each action, it is imperative that it first probes the environment in order to explore the state-action space. The longer this process takes, the larger the size of explored state-action space is, and the more accurate the agent's predictions about the Q-values can be.

Since Q-values represent the overall return of choosing an action, this will create a mapping from each state to what the value is of each action in this state, taking into account later stateaction pairs. Having this information provides the algorithm with an overview of good and bad actions to take in each situation. By then employing a policy that chooses the action with the highest Q-value in each state, which is also called a greedy policy, the agent is able to maximize the reward signal.

## 3.3 Deep Q-Learning

In many RL applications, including the use of RL as a means to control a drone, there is the issue of how states and actions are represented. The implementation of Deep Q-Learning [27] pose a solution to this problem, which will be discussed below.

In many domains where this algorithm is useful, the state or actions can be either continuous or discrete. In most discrete state-spaces, keeping a table of each *Q*-value for each state-action pair is a reasonable method to keep track of this information. However, in the case of a continuous space, keeping a tabular state-representation would vastly inflate the computational costs of storage, let alone searching time required to sift through this table. This means that there is a necessity to represent the action space, not categorically, but as a function.

Additionally, in some applications, the state space is being represented as an image. Using images, which can take up a large range of values per pixel, can further complicate how the mapping of state to action values happens. However, more importantly, the location of the values become important. Image processing requires the processing of the interrelated connections between pixels in its 2-dimensional spaces. These problems necessitate different requirements to the function approximation tasks compared to a simple one-dimensional state input.

#### 3 THEORY

## **Convolutions and Neural Networks**

Neural networks have previously been used in order to perform function approximations, especially in the context of RL [41]. Neural networks are a biologically inspired network of digital neurons that make predictions and adapt accordingly when presented with a ground truth [47]. The building blocks, the neurons themselves, have weighted connections to other neurons. These connections are the weighted sums of their inputs and a bias term. During initialization of such a network, all of these values, also called parameters, are random. The training process is defined by the modification of these weights and bias terms in order to fit to the data that it is being fed. This process happens by the network producing an output prediction, which is then checked according to a ground truth. Using the difference between this ground truth and the prediction, a cost value is produced and thereby, a cost function. An algorithm is then used that calculates the gradient of the parameters that will minimize this cost function, which is also referred to as backpropagation. During a training cycle, a batch of data is fed forward through a network and a gradient towards better weights and biases is calculated and applied. Iterating this process, modifies the network to adapt to the data. More importantly, this also provides the network with the ability to generalize to new, yet unfamiliar data points. There is a strong trade-off regarding the degree to which a neural network has been fitted to training data. The more the network adapts, the better it fits the data and can generalize. Nevertheless, it is possible to overdo this, causing the network to fit to the data too much, losing its generalization abilities. This phenomenon is called overfitting.

Using neural networks has been an extremely useful tool in many domains [9, 48]. One such domain is computer vision, which deals with different vision tasks such as visual recognition, image classification, object localization, and object detection [49]. Some of these tasks have seen drastic improvements with the introduction of a specific type of neural network called a Convolutional Neural Network (CNN) [50, 51, 52]. CNNs rely on the principle of convolutions as an operation on the input. This convolution employs a filter (also referred to as a kernel) which is a matrix that is applied to the input pixels as a sliding window. Performing this operation on the input, creates a new image which extracts specific features, dependent on the filter being applied. In the case of a CNN, the goal is to learn relevant filters to be applied on the input, in order to make correct predictions. The final layers of a CNN mostly consist of fully connected layers, which are identical to the normal neural network architecture as described earlier. CNN architectures output a probability distribution over all the available classes, where the highest probability class is chosen as a prediction. The application of neural networks as function approximators in RL has been a popular choice [53], but especially so in the case of Q-learning. The determination process of the state-action pairs and their corresponding Q-values can be performed using the CNN. Instead of a class distribution, the CNN now outputs predictions about the Q-values of each available action, forming this value approximation method. By employing a certain policy using these values, different behaviors can now be either found or performed.

# Deep Q-Network

The combination of using both a CNN (or any other type of neural network as a function approximator), as a Q-learning algorithm is called Deep Q-Learning. An agent employing this is called a Deep Q-Network (DQN) [27] and works similar to a normal Q-learning algorithm. The agent gathers experiences in a certain environment, and stores these experiences in a replay buffer. During training time, it samples a batch from this replay buffer and performs the feed forward through the CNN. Using the outputs from the network to compare against what was actually observed during the batch of experiences. With this difference, a gradient can be calculated which can be backpropagated through the network to become more accurate in its Q-value predictions. Iterating this process eventually ensures that the agent converges at either a local or global minimum. Using samples of experiences as training data is done for a more stable training process because a possible danger of using only the latest acquired experiences is that the agent will forget learned behavior from older experiences degrading its performance. Furthermore, changes in parameters also mean changes in behavior, which leads to new experiences and consequently, a bias in the data. The fact that the training batches will always contain a proportion of considerably older experiences, assures that the agent will always be updated with those experiences as well, enabling overall more stable a training process.

Once a DQN has been trained, policy selection is straight-forward because a greedy policy can be applied to maximize the current knowledge about the reward space. However, during training time, to be able to tweak the exploration-exploitation process, an  $\epsilon$ -greedy policy can be applied. This policy selects the actions with the highest *Q*-value, expect for an  $\epsilon$  proportion of times, where a random action is chosen. Maintaining an amount of randomness during action selection during training time, ensures that at least some degree of exploration will be present. Once the training process has been finished, this  $\epsilon$  can be reduced to naught, prioritizing exploitation.

# 4 Research Questions

In this section, the research focus of this thesis will be discussed, together with the sub-problems that will divide the main problem. This thesis will investigate the following question.

Main – To what extent is the use of Reinforcement Learning in the task of Follow-Me behavior applicable and beneficial?

In order to formalize the process of answering this question, the next sub-questions are defined.

#### 4.1 Directionality

Since the target object is a dynamic moving object, the need to implement some type of directionality information, as described in Section 2.3.3, in the state representation is required. In this thesis, the choice has been made to implement a stack of images as means to convey this information. Doing this could improve the training process, in speed and convergence, and could also alter the way in which the follow-me behavior is being performed. From the literature, it is still unclear whether the agent will be benefited by adding this in the input regarding successful follow-me behavior. In order to investigate this, an implementation of an agent trained on state-representation containing directionality will be tested to answer the following question.

1 – Does the implementation of stacked images as state-representation improve an RL agent's training and performance in follow-me behavior?

### 4.2 Obstacle Avoidance

The desired behavior would preferably not only be to follow a person, but to also successfully identify and avoid objects that are in the way. Therefore, we will implement a type of staterepresentation that can convey to the RL agent information about where potential obstacles are. For this, state-representations will be changed from normal images to depth maps as an implementation of this object sensing. Implementing this, allows us to see whether this has an influence on the training process or the learned behavior and help answer the second research question.

2 - Does the implementation of dept maps as state-representation improve the performance of the RL agent in the context of follow-me behavior?

# 4.3 Baseline Comparison

Another aspect that will be investigated is the benefit of using Reinforcement Learning compared to a baseline model. Overall, the goal is to see whether Reinforcement Learning works in the context of follow-me behavior. An interesting approach to answering this question is to see how the best working RL agent works compared to a pre-programmed baseline model similar to the techniques used in previous studies.

3 - Does the use of Reinforcement Learning provide any benefit over a baseline agent?

# 4.4 Generalizability

Finally, to see whether the agent is able to generalize its learned behavior to new, more complex environments, the agents will be tested in previously unseen situations. By training agents in environments where systematic obstacles have been added and consequently testing them in more complex environments, conclusions can be drawn about how much of the behavior from the previous environment is transferred.

4 - Do Reinforcement Learning agents generalize their behavior in an unseen and more complex environment?

# 5 Methods

This section will be an examination of the experiments that will be performed to answer the research questions, as well as the implementation to do so. First, the software that was used to build the foundation for the RL framework will be discussed. Next, the implementation of the RL framework will be explained. Furthermore, the metrics and the experiments will be explained.

# 5.1 Architecture

Each part of the architecture that will be used for the training and testing of the agent will be addressed. An overview can be seen in Figure 3.



Figure 3: Overview of each element in the architecture used to perform the experiments in

# 5.1.1 Simulation

The most important aspect of the framework and architecture is the simulation system in which the drone will operate. As seen in Figure 3, the simulation is the most crucial aspect in which the RL framework operates. The simulation is a combination of multiple aspects that will be discussed further, namely the person, AirSim and the physics engine defining the physical environment of the drone. Each aspect of the simulation environment will be discussed in the coming sections.

#### AirSim

The program that will be used to take control of a drone will be Microsoft's AirSim [54]. AirSim is a coding library that is used in order communicate with drones instantiated in simulation environments or physical drones. Next to this, the program allows the user to instantiate a quadcopter

directly in a virtual environment, together with simulated vision possibilities including a normal camera and depth-view. These views can be observed at the bottom of Figure 4.Furthermore, using the Unreal Engine [55], this program allows for a multitude of created environments to be used. This feature allows the ability to create or use different environments that systematically introduce variables to be tested. What is more, AirSim has the ability to command the vehicles through a Python or C++ script, which makes AirSim very suitable to perform Deep Learning. What makes it even more attractive, is that AirSim allows easy connectivity with the PixHaw API, which makes it easy for the drone to be implemented on an actual physical drone in order to allow development for real-life applications.



Figure 4: The AirSim program features

## Environments

Another aspect of the simulation, is the physical environment in which the drone will fly. For this, three environments have been created. A set of agents will be trained and tested in each environment which will be specified in Section 5.2. Snapshots of the environments from the top view are visible in Figure 5.



Figure 5: The layout and obstacles for each individual environment and the walking path of the person

The shape of the person's walking path inside of the environments are kept a steady variable. This shape was picked as a means of balancing the amount of time the person will be walking straight and making turns. At the same time, in order to keep an even amount of right and left turns, the need to have two left and right turns was kept in mind. For the Warehouse environment, a variation was used, where the turns of the person were expanded. Keeping the walking routes the same eliminates this variable as a possible explanation for why certain models might perform less well in certain tests.

The people that the drone will be following can vary per environment. As will become clear in Section 5.1.2, the input states will not contain any information about the specific individual that is being followed. For this reason, during training time and testing time, a different target person can be implemented. Nonetheless, at any point in time there will be at most one person in the environment, which will be the person that the drone will have to follow. Again, in order to isolate the required task of the agent to follow an individual person, the choice has been made to not include multiple people.

Looking at the implemented environments, the first environment will be BlocksNormal, which will consist of no obstacles for the agent to deal with. In this situation, the agent's ability to learn the follow-me behavior will be assessed. The second will be BlocksObstacles in which different types of obstacles have been added to simulate three different situations, namely: tight hallways, wide hallways and corners. Their locations can be seen in Figure 6. In this environment, the agents ability to deal with these situations will be gauged. Finally, the Warehouse environments will contain similarly designed situations, however with much more details. Here, the objects consist of different types of objects and textures but create similar obstacles for the drone to avoid as in



Figure 6: Locations of each type of situation in the environments

The areas correspond to the following situations: Green = Tight hallways Blue = Wide hallways Yellow = Corners

the BlocksObstacle environment. In this way, the behavior can be analyzed specifically by looking at how the agent deals with each specific situation to see whether the agents are able to generalize their behavior to new situations.

#### 5.1.2 Agent Structure

In this thesis, a DQN will be implemented as an agent that will perform Q-learning. The choice for this type of learning has been made because of the aforementioned reason that the DQN can easily be implemented to be tested in new domains. Furthermore, its inputs are easily changed as necessary for the experiments that will be run. Now the overall architecture of the agent, which is the decision making body that is actually in control of the drone, will be described.

## Inputs

The camera inputs of the agents will have a resolution of 128 x 72. Next to this image, the bounding box of the person in the view of the drone will be derived. This will provide the drone with information about the location and distance of the person in its camera view. The bounding box will be retrieved using AirSim's Segmentation maps. These maps provide the same image as the view from the camera of the vehicle, but instead each pixel represents what object is in view. An example of one such segmentation maps can be seen in Figure 7. The color in this segmentation map for the person is preemptively set during initialization of the entire program, and when the drone receives this frame, it searches for the pixels corresponding to this color. When this is available, simply taking the extremes on both axes will provide the agent with the bounding box of the person. The pixels in this bounding box area will then all receive a -1 value while the rest of the image will be processed according to what type of combination of state-representation is chosen. These images could be stacked, which would result in the repetition of this process three times. The image itself could also be a depth map, in which case no further processing is done. If the image is a normal RGB image, it is first grayscaled. Doing this removes the three channels in an RGB image while still maintaining all of the required information. Before passing this state to the agent for training and decision making, the image is normalized in order to stay within a range of 0 to 1. An important note here to make is that the range of the bounding box in the image will stay -1, making the possible values for each input to the agent range between -1 and 1. This normalized image is then used as an input for the agent to decide on what action to take.



Figure 7: Segmentation Map from AirSim

### Network and Hyperparameters

Every type agent will be a variation of the DQN agent and will therefore, contain the same network. This network can be seen in Figure 8 and the specific architecture details can be found in Table 1. Being quite modest in size, this neural network has approximately 2M parameters, which makes it computationally easy to train and deploy. This architecture has been chosen because of the fact that a convolutional network is required, as it is supposed to process image data. However, it does not require to be a large network as the limitation of this thesis is that it should stay resource efficient. Furthermore, it is only required to make decisions upon these images, so the network architecture does not necessitate a network that is extremely large. The optimizer that will be used is Root Mean Square Propagation (RMSProp) [56] with a learning rate of 0.001.



Table 1: Network Architecture of the DQN

Figure 8: Network Architecture

# Outputs

The DQN's output will be an integer between the range of 0 and 5, each of these representing an action as is illustrated in Table 2. These actions have been chosen so that the agent is able to manoeuver in the environment in most directions. The ability to orient itself is a requirement in order to change its direction. However, this orientation is only possible on a horizontal axis. Vertically, the drone will remain at a static height. Its vertical orientation is unable to change as these movements would also result in a horizontal displacement. Changing the camera positions is synonymous with moving the drone itself. An important note to make is that the drone is unable to move backwards. The reason for this lack of movement is because the drone is unable to sense what is happening in the back. Nonetheless, the movements to the right and left have been included because the drone is able to partially observe the obstacles in these settings.

$\mathbf{Integer}$	Action
0	Do nothing
1	Orient right
2	Orient left
3	Go straight
4	Move right
5	Move left

Table 2: Mapping from network output to actions

#### 5.1.3 Agents and variations

In order to answer the research questions (4), a variation of the DQN will be implemented. There will be two types of agents and an additional of two variations that will be used in this dissertation. Each of these variations will be introduced and discussed here.

#### Baseline

First, a baseline that does not use RL techniques but more straight forward heuristics to decide on an action will be implemented. The method used for this, has been inspired by the techniques that are used in drone control when RL is not used, as discussed in Section 2.1. These methods use the simple assumption that the drone is tracking the object when a set of conditions are met. These conditions include that the object is centered inside of the camera input and that the size of the object corresponds to a certain proportion. From these conditions the distance to the object can be derived and whether the drone is looking at it.

Using these principles, the following agent has been developed. With the received camera input, the baseline agent determines where the bounding box is in the image. If the center of this bounding box is in the left side view, the agent will rotate left. If the bounding box is in the right side of the view, the agent will rotate right. If the bounding box center is within a range of the center of the view, the agent will check what the height of the bounding box is to see how much it differs from the goal height. The goal height being 20% of the image height, an additional margin of error will be permitted in order to prevent constant movements of the agent. In the case that the bounding box height is within this margin of 25%, the agent will not move. In the other case, the agent will move forward, coming closer to the person.

Using these methods removes the need to perform calculations about the exact location of the person, while also maintaining sufficient distance from the person. At the same time, as will be elaborated upon in Section 5.1.4, these values have been fine-tuned with the reward function in

order to also maximize the reward function using this method. This agent does not require any training and is therefore simply used as a baseline model in order to compare the RL models to.

#### State-representation variations

The RL agent that will be used will be a DQN. However, its state can vary and these will be tested accordingly. In this thesis, two such variations will be implemented and investigated.

The first variation to the state-representation that can be made is the use of stacking. Considering the limitations of this study to maintain a low computationally functioning agent, the decision has been made to opt for video input as a means to communicate directionality to the agent. The use of RNNs, would require too much computing power. This has been implemented by taking three consecutive frames from the environment with 0.1 second intervals as a means to form a video. This video can also be considered a stacked image of the last three frames. This stacked image can be created by getting a frame from AirSim (either normal or depth), deriving from it the bounding box, processing it as described in Section 5.1.2 and then finally, simply to stack them in a 3-dimensional image as can be seen in Figure 9. This object is now used as the input for the agent.



Depth Image Pipeline

Figure 9: Pipeline to process AirSim images in order to create a state for the DQN agent

Another variation is the use of depth images. In order to allow the agent to sense obstacles in its surroundings, the choice has been made to us depth maps. This option combines the ability for the agent to receive image input, perceive the person and detect the distances to the obstacles around it. AirSim provides the ease of simply requesting depth maps from the environment, giving the agent access to the ground truth distances to all of its surroundings. Important to note, these depth maps can easily be combined with the stacking of them, allowing for all of the possible combinations of these agents to be tested.

## 5.1.4 RL Framework

The reinforcement learning framework are also an important part of the implementation that require some discussion. The use of a python library that builds upon the machine learning library Tensorflow, called TF-Agents has been opted for. Tensorflow is, in itself, a library that abstracts machine learning algorithms to be implemented. Adding to this, TF-Agents allows for a high-level abstraction of the RL implementations. Nonetheless, more specific RL environment aspects require to be developed, each of which will be elaborated upon in the next sections.

#### **RL** Environment

An important aspect of reinforcement learning is the RL environment. Here we refer not to the simulated environment where the drone is flying in, but the RL environment that creates the states and returns rewards where necessary, as seen in Figure 3. The agent interacts with the RL environment, which again interacts with the simulated environment in order to get the required information. Crucially, RL algorithms tend to operate in episodes. An episode is characterized by a beginning state and a terminal state, with transitions of steps that the agent is taking in between. After this terminal state, the environment resets and a new episode begins.

In this implementation, the starting state of the person is directly behind the person at a slight distance. Keeping this initial distance from the person removes a bias in reward in earlier states of an episode. After this, a step is taken by the agent. This step process is defined as follows. First, a state is retrieved, which is performed as described in Section 5.1.2. Consequently, an action is chosen by the agent according to this state after which a reward is calculated for this new state. Finally, in each step, an assessment will be made as to whether an episode has ended, to determine whether the terminal state has been reached. This will be done by checking whether one of the next three requirements have been met: the agent has collided with an object, the agent has no bounding box in its camera, meaning the person was lost from its view; more than 50 steps have

been taken. These conditions ensure that an episode consist of a finite sequence of actions for the DQN to be able to learn from. Between these episodes, the environment resets. This reset makes sure that the drone is reinstantiated to the correct position. In this case, the drone is being positioned directly behind the person and made sure to be oriented towards the direction of the person as well. These resets are meant as means to not waste time in state-spaces during training time that are not conducive for the agent to learn. States where it has collided or loses sight of the person are not relevant for the drone in order to learn how to follow successfully. Therefore, before too much time has been lost in these states, the environment resets to a moment from where it can continue its learning process successfully.

#### Training

Before the models can start training, some preparatory steps are performed. DQN requires a replay buffer where it stores a large dataset of experiences. This is necessary for the DQN as it requires samples from this buffer as an input for the network for each training step. Since this would also cause the primary training steps to be skewed, it's necessary to fill part of the replay buffer before training begins. Therefore, before training is started, an agent that performs random actions moves about in the world for 500 steps, filling a portion of the replay buffer, which has a size of 10,000 experiences total. This makes sure that an initial portion of the state-space is explored already before training begins.

Next, the training process can be described as a loop where the same steps are being taken each time, also referred to as an epoch. This loop starts by performing 50 movements in the environment. These 50 movements correspond to a full episode before the environment resets. Some of the earlier episodes might not reach their 50 steps limit, however, nevertheless, a total of 50 steps will be taken before the network is trained on these experiences. This stabilizes the increase in replay buffer size throughout each epoch. The batch size of a sample is 64 and each model will be trained either to convergence or 2000 epochs.

It is important to note that the TF-agents library maintains two policies for an agent. One collect policy which is meant to always keep a degree of randomness in order to always keep some level of exploration. Next to this, is an evaluation policy which is the optimal policy the agent has learned. Every 50 iterations, an evaluation step is taken. Here, 10 episodes are being played by the evaluation policy. The required metrics, as discussed later, are stored and the training loop continues.

#### **Reward Function**

The most important aspect of RL is the reward function. Since learning in this context depends on the maximization of the reward function, the behavior that the agent will learn is highly dependent on this reward function. In this thesis, the choice has been made for an easily-developed sparse reward function. This is because, as mentioned before, there is no need to imbue the agent with pre-determined knowledge about how to reach the goals. This will allow the agent freedom in interpretation about how to solve this problem and not limit it to behavior decided upon by the developers.

The reward function requires a connection from the state input. If this is missing, the agent is unable to actually perceive the impact of its actions on its states. To solve this problem, the bounding box will be used as an object to determine the reward, considering as it contains both information about the relative location as well as the distance to the person. Here, similar assumptions as the baseline will be made about relative location of the person, as well as its distance. The person should simply be in front of the drone, where centering the person in the middle of its camera view is included in the reward function. With regards to the distance to the person, a goal bounding box height has been determined using the distance to the drone. The goal distance to the person has been determined to be four meters, which, combined with the height of the drone, results in the person taking up 30% of the image height. Combining both the centering and the distance conditions in a reward function results in the following set of rules.

If there is a bounding box and no collision is happening, there are three conditions that are required to be met. The first of these is the location of the x value of the bounding box center. When this value is within a 20% range of the center of the image, this condition is met. The second condition to be met is that the height of the bounding box is within a 30% range of the goal height. The final condition to be met is that the location of the y value of the bounding box center should fall in the top 80% portion of the image. This ensure that the drone is not positioned too close to the person. When all of these conditions are met, the reward is determined to be 1. In case of detected collision or a bounding box is missing, a -1 is returned. All other cases return a 0.

In all of the tests, the reward function is kept a constant, in order to use this function as a metric for the performance of each agent. This way, all of the tests can be compared measured according to the reward received.

#### Metrics and Methods of Analysis

The metrics to evaluate the training process, the follow-me performance and the behavior will be discussed. Multiple perspectives will be taken. First, the training process is evaluated according to certain metrics. Next, overall performance metrics will be used as well. Finally, in order to compare the behavior of each agent, some formalizations will be introduced.

An overall crucial metric, which will be used throughout this thesis, is the average return. In this metric the average reward that was gathered in an episode is recorded. This metric will be used to evaluate both the training procedure but also the agent's overall performance. As this metric encapsulates all of the requirements of the agents behavior, namely obstacle avoidance, person centering and keeping its distance.

Metrics specific to the training procedures are the following. First, next to the average return, the average length of an episode will be tracked. Since the episode can end early when a crash happens, or the person is out of sight, the longer an episode takes, the better the drone is at following the person. The cap here is at 50 steps, since that is when an episodes resets regardless. During the evaluation step there is a variation to these metrics. Instead of the average episode length and the loss, the minimum and maximum return are being recorded. These express the worst episode and the best episode that the model performed. The preferred situation is where the range between these two value is not too large. However, if that is not the case, looking at these extremes can shed a light on where the model is still lacking.

With regards to quantifying the agent's behavior, a number of analysis techniques have been used to get in-depth information. First, for each of the 50 possible time-steps that an episode can last, the average received reward will be recorded. This information gives insight into how an average episode progresses for the agents and express potential bottlenecks of the agent as it showcases what the distribution is of the received reward through an average episode. Next to this, the paths the drone has taken throughout the test run and the location and type of episode ending will also be recording. This information provides data about the behavior of the agent and in which situations it is struggling the most. All of these aspects will be used to draw conclusions about the performance and behavior of each individual agent.

## 5.2 Experiments

In order to answer the research questions from this thesis a set of experiments will be run in the effort to answer them. The experiments will be performed per environment, each of them increasing

in complexity. First, tests will be run in BlocksNormal, after which BlocksObstacles will be used to run tests in. Finally, tests will be run in the Warehouse. These tests together with the research question they answer, are illustrated in Figure 10. Each will be discussed in the following sections.

## 5.2.1 BlocksNormal

First, four agents will be trained and tested in the BlocksNormal environment. The procedure in which this will happen, will interchange training with test sessions. Primarily, a DQN with single normal images and a DQN with stacked normal images will be trained. After this, both of them will be tested. The best working state-representation will be used for the final agent to be trained using depth images. This means that either a single depth or a stacked depth image DQN will be trained and tested. Finally, a baseline will also be tested and used for a comparison. After all of these tests have been run, the behaviors will be analyzed together with their overall performance. This means that each of the agents will be compared to the baseline in order to quantify how much better the RL agents perform compared to the baseline.

#### 5.2.2 BlocksObstacles

The second procedure will be performed in the BlocksObstacles environment and this will be performed in the exact same order as the previous environment. However, in the end, the performance will not simply be compared to the baseline. After the two tests in the BlocksNormal and the BlocksObstacle environments, the expectation is that there is a degradation in performance. This proportion of degradation will be used to compare the decrease in performance of each RL agent as well. Performing this comparison will give insight in whether the agents are comparatively better at handling this environment than the baseline. Finally, the best working agent in this environment will be retrained using a slightly modified reward function. This reward function will have tighter margins. Where the normal reward function had a margin of 25 %, this one will be performed using 10 %. Performing this test will reveal how the behavior can be targeted using the reward function.

# 5.2.3 Warehouse

Finally, training and tests will be performed in the Warehouse environment. Starting by testing and comparing both the best working RL agent from the BlocksNormal and BlocksObstacles environment. The better working model of these will be retrained inside of the Warehouse environment. Performing these tests will give insights in to how much each agent was able to transfer knowledge from its trained environment to this new environments. Comparing these models to the baseline degradation, similarly to the previous environments, will again show how much better the RL agents are.



Figure 10: Experimental procedure that will be followed. The order will be to start with the BlocksNormal environment, moving to the BlocksObstacles and ending with the Warehouse
# 6 Results

This section will present and analyze the results from the described experiments and will be addressed similarly to that structure. However, first, the baseline will be tested in each environment in order to establish the complexity of the environments beforehand.

## 6.1 Hardware

The experiments have been performed on a NVIDIA Geforce GTX 980M graphics card, with 8GB of RAM and an Intel Core i7-4720HQ CPU with 2.60GHz. The simulation uses a mix of both the GPU and the CPU in order to perform the basic operations. The RL framework relied solely on the CPU in order to allow the rest of the GPU space to be used for the training of the agent.

# 6.2 Baseline Performance

The initial tests will first be performed by a baseline agent. This agent has been run in each environment, in order to see how it performs and behaves. The same metrics as for the RL agents will be recorded, and these values will be used as a measuring tool for the RL agents. More specifically, the degradation in performance with the previous environment will be calculated. After having run the tests, these results can be seen in Figure 3.

Table 3: Average return of the baseline agent in each environment and the corresponding degradation of performance compared to the previous environment

Environment	Average Return $(/50)$	Difference*
BlocksNormal	40.2	-
BlocksObstacles	22.9	-43.0~%
Factory	9.9	-56.8~%

\*The difference has been calculated by comparing the average return of an agent with the average return in the previous environment.

What can be deduced from these initial results, is that the baseline agent has more trouble the more obstacles are being added to the environment. With the addition of walls in the BlocksObstacles environment, the agent already performs considerably worse. However, what becomes clear, is that the implementation of the agent in an even more complex environment, results in an even bigger performance drop. These findings more strongly emphasize the weaknesses of baseline implementations: namely their inability to deal with obstacles and complex environments.

In order to further investigate how an average episodes is performed by the baseline, a reward

distribution has been created, as seen in Figure 11. Here the proportion of time that a positive reward signal was received at a given step in the episode has been plotted, with the green line representing a running average of the last 5 steps.



Figure 11: Baseline reward distributions in each environment

The first five frames of an average episode look the same for each agent. This is reflected in the image by the sharp increase in reward early in the episode. During the first frames, it is hard for the agent to receive reward because of the reset distance between the drone and the person. Reflected in the figure, the beginning frames contain low values. This lack of reward in the initial steps is also the explanation for why the agent will never be able to receive an average reward of 50, as receiving a reward in these initial steps is extremely hard. The consequent steps, however, increase drastically, seeing as the drone is approaching the person. This behavior happens in each episode because in the first moments, the chances that the person will have walked behind an obstacle are slim, resulting in these moments where the agent is able to follow. Nonetheless, it is still very hard to maintain a 100% reward in each consequent step, as the agent sometimes acts slightly too late,

resulting in some steps where no reward is received. This same pattern is visible in two obstacle environment, however with a proportional degradation in rewards in the later stages. This points at the inability of this agent to avoid obstacles after the initial run-up to the person.



Yellow = Normal end | Blue = Out of View | Red = Collision

Figure 12: Paths and episode ends of the baseline ends during 100 episode test runs for each environment

Looking at the path of the baseline inside of the BlocksNormal environment in Figure 12, it is clear that the agent follows the paths of the person neatly. During the turns, the paths of the agent finds itself inside of the diameter of the turn, which is to be expected since in these moments all the agent needs to do is simply turn to keep the person in its FoV with the sporadic move forward in order to keep the person at the right distance. However, performing this behavior inside of the other two environments posed problems for this agent as can be seen by the increase of collisions and moments of losing the person further illustrated by Table 4

Table 4: Unsuccessful episode endings of the baseline in each environment during the test run

Episode End Type	BlocksNormal	BlocksObstacles	Warehouse
Out of View	0	33	16
Collisions	0	20	60
Total (/100)	0	68	76

Looking at both types of hallways that the agent finds itself, it does not seem to struggle with these situations. Both the tight hallways on the outer sides of the walking route and the wider hallway in the middle of the map, seem to be easy situations for the baseline to handle. This makes sense, as the baseline is programmed to simply move forward in these situations. It is the corners situation where this agent is unable perform adequately. There are four clusters where these problems seem to arise. Two at the bottom at the beginning of the turn, where the agent keeps losing sight of the person, and two at the top where the drone keeps crashing into the wall as well as lose sight of the person. Why the agent struggles in these situations is an expression of the fact that in these turns, it is necessary to be able to avoid an obstacle. Such behavior is not programmed, resulting in failing situations. An example of a failing moment can be seen in Figure 13.



Figure 13: How the baseline loses sight of the person in BlocksObstacle

Since the person is within the correct distance, the agent does not come closer but instead keeps centering the person in its view. However, as can be seen in the last three frames, the wall is becoming visible. This does not influence its behavior, leading to the person walking behind the wall which makes the drone lose sight of the person. The reason why the collisions occur in the top two clusters, has to do with the type of situation the drone is in. In the top two turns, the drone is leaving tight hallways, where there is less room for mistakes leading to early collisions. This is less of a problem in the bottom two turns, where the drone is in a wider hallway with more space.

Finally, looking at the performance of the baseline in the warehouse environments, it is clear that the agent has even more problems. As seen in Table 4, a vast majority of the episodes have ended in either a collision or the drone losing sight of the person. Looking at Figure 12, it becomes clear that the agent struggles in exactly the same situations as in the BlocksObstacles environment. The clusters of out of views and collisions happen exactly in the same situations as in the BlocksObstacles environment. The exact locations can be observed in Figures 20 and 25 in Appendix A.

With these experiments, it becomes clear that the baseline is an agent that works the best in the BlocksNormal environment, where no obstacles are present. However, with the introduction of obstacles, this heuristic method becomes increasingly problematic and unable to deal with these new additions to the environment. These shortcomings show that the use of heuristic based methods are unable to deal with changing factors, unless explicitly programmed to do so. There is still a benefit for agents to be able to adaptively behave according to the environment, which would be the case for RL agents. Using this behavior as a control condition in the next experiments enables the drawing of concrete conclusions about the specific way in which RL agents are able to outperform such a baseline.

### 6.3 BlocksNormal Environment

In this section, the training and test results of the agents inside of the BlocksNormal environment will be discussed. First, the training procedure will be addressed after which the test runs will be elaborated upon.

## 6.3.1 Training Process

Inside of the BlocksNormal, a set of agents have been trained. Which variation on the agents has been trained has been selected by looking at each consecutive test result. After training the single normal image DQN and the stacked normal image DQN, test runs are performed to see which performs better. The agent with the higher average return is used for the next training session, where it is retrained using depth images instead.

The training progression can be observed in Figure 14. The data of this process has been smoothed in order to observe the underlying trend in the volatile data. Meanwhile, the range of the data has also been added in order to still perceive the volatility of the training process.

As has been discussed previously, the training processes is interrupted by evaluation moments at each 50 iterations. The differences between training and evaluation can be seen in the figure. The two main metrics that are recorded during training time are the average episode length and the average return during each episode, while the evaluation cycles are being observed through average return, maximum return and minimum return.

Initially, the starting points of each average episode length during training are all divergent. This happens because the initial parameters of each model are instantiated randomly. When this happens, the agent also acts randomly making the probability that the drone loses sight of the person low. Losing the person from its view happens because the required behavior to lose the person consists of a sequence of the same movements, specifically a rotation in any direction. The chances that such a sequence occurs under a random acting agent is very slim. As the agents start to learn, new behaviors appear, including faulty ones such as sequences that lose sight of the person. This process is what can be seen in the initial dip that is visible in Figure 14.



Figure 14: Training Process in BlocksNormal Environment

After this, the agent learns better behavior leading to the recovery in the average return. This dip is not reflected in the average return, because this learning process happens before the agent has found the right distances to the person to receive a reward.

Furthermore, during the training process, all the agents were capable of converging their average episode length close to the maximum number. Since an episode can at most be 50 steps, if the agent is capable of keeping the person in its FoV throughout this entire time, the episode length will be 50. Seeing as all the agents are able to converge close to this limit, this signifies that the agents have all been able to learn how to keep the person in their FoV, whatever its distance. This is reflected in the training average return as well, where the curve is similar in shape to the average episode length. The longer the episodes, the higher the average rewards that the agent is receiving throughout each episode. Being able to keep the person in its FoV signifies a first step to learning how to perform the follow-me behavior.

The volatility in the data is explained by the random initialization of the agents. As the epochs progress, this volatility reduces, furthermore emphasizing that the agents are becoming more stable.

When it comes to the average return during training cycles, all the agents are able to converge to similar values, being around 30. This means that out of the 50 steps that the agent is taking, an average of 30 frames were spent in goal states. The remaining frames have therefore been spent in states where the person was either not centered or not close enough. Comparing this to the evaluation average return, this metric converges higher, around 35. The reason why this is larger than the training value can be explained with the fact that the training cycles happen with a collect policy which includes a certain degree of randomness. The evaluation cycles are performed using a greedy policy. This means that there will always be a slight discrepancy between these two converged values in favor of the evaluation average return.

Looking at the progression of how the agent learned its behavior, the maximum value that the agent earned in the evaluation run also converged around 50. Therefore, the best episode that the agent is able to perform, is one where in most of the steps the agent was in a goal state. Considering this, it is interesting to see that the single depth image model was able to achieve these near perfect episodes the fastest, compared to the other models. The ability to sense the distances between itself and other objects seemed to have a positive influence on the training process for the agent in an environment where no obstacles are present.

Finally, the minimum return in an evaluation run is very volatile. This most likely has to do with the fact that some episodes seem to still confuse the agent enough for it to end the episode very quickly by losing it out of sight. Nonetheless, the overall trend of the minimum rewards seems to have a positive slope. The final values that all of these agents converge on is around 20, which means that the agents have all learned to have a type of behavior that at the very least, is able to gather around 20 reward points per episode.

#### 6.3.2 Test Results

The average return and baseline comparisons of the test runs performed in the BlocksNormal environment can be observed in Table 5.

Agent	Average return	Compared	
Agent	$(\max. 50)$	to baseline	
Baseline	40.2	-	
DQN - Single Normal	35.2	-12.43 %	
DQN - Stacked Normal	31.9	-20.64 %	
DQN - Single Depth	42.0	+4.5~%	

Table 5: Average return of the agents and performance comparison with the baseline in the BlocksNormal environments

Overall, these results show that only some of the RL agents in this environment are able to match the performance of the baseline. A surprising result, is that the stacking of images did not seem to improve the performance, and had the largest performance drop compared to the baseline. This is interesting, as this means that although the RL algorithms were able to match the performance of the baseline throughout their learning process, the stacked image RL agent did not. Although RL is able to teach itself behavior that would be similar to a very straight-forward baseline method, the addition of a stacked image state-representation impeded the agent so much as to reduce its average return.

Furthermore, the use of depth images instead of normal images did appear to boost the agent's performance, enough for an average of 7 frames per episodes and an increase in performance compared to the baseline. In order to better understand the behaviors of each of the RL agents during these test runs, the next sections will focus on each specific aspect of their behavior.

#### **Reward Distribution**

In order to analyze how each agent performs an average episode and where each agent's strongest aspects are, Figure 15 has been made, where the green line represents a running average of the last 5 values.



Figure 15: Reward Distribution of each agent in BlocksNormal environment

As can be seen, there are differences in how each agent performs an average episode. Most notable is that, with the exception of the depth agent, most agents struggled with keeping a stable reward for longer than 25 steps. Initially, this is not different from the baseline and points to the fact that the longer an episode takes, the higher the chance the episode ends unsuccessfully. However, the contrast with the depth image is surprising, who performs very stable. This shows that the model has learned to keep making decisions that allow the agent to stay in goal states on a stable basis.

These results show some shortcomings of the RL models which did not use a depth imaging. Both of these agents were less stable in their behavior throughout an average episode than the baseline agent. In order to analyze what the exact behaviors are that lead to these reward distributions, a more in-depth look will be taken at each of them inside of the environment.

### Behavior

To analyze the overall behavior of the agents, the paths throughout the 100 episode test runs have been superimposed over the path of the person. This imposition can be seen in Figure 16. The added green lines are the path of the agent during the episode. The red dots have been the episode



Figure 16: Paths and episode ends of all the agents during a 100 episode test run. The green lines represent the flight paths of the agent.

The dots correspond to the following episode ends: Red = Collisions Blue = Out of View Yellow = Normal

ends that occurred through a crash. Blue dots indicate the drone lost sight of the person and a yellow dot simply means that the episode ended after 50 steps.

The most notable element in this image, is the contrast that the single normal and stacked normal image agents have compared to the other two agents. Both of the former agents have very rough flying paths compared to the other two. The single normal image has taught itself how to follow the person around its paths, but has done so with behavior that is still sub-optimal considering its average return and includes a high level of variability. Considering the frequencies of unsuccessful episode ends from Table 6, this further emphasizes the weaknesses of this agent.

Table 6: Out of View of the agents in the BlocksNormal environment during testing

Agent	Out of View $(/100)$
Baseline	0
DQN - Single Normal	15
DQN - Stacked Normal	19
DQN - Single Depth	0

A possible explanation for this high variability could be the fact that the use of normal images

results in a large state-space making it hard for the agent to find a global optimum. Instead, it settles at a local optimum and the search for better action sequences remains hard and prone to fail.

With regards to the other underperforming agent, the stacked normal agent performs a completely different behavior than all of the others. This agent positions itself to the right of the person and attempts at keeping the person in view from this perspective. This strategy works throughout the moments where the person is walking forward, however, as can be observed in Figure 17, it finds itself in a situation that is much more difficult to successfully process afterwards.



Figure 17: Stacked normal agent losing sight of the person

Such situations explains the sudden drop in the reward distribution in Figure 15, which happen because the agent deals with these states in later stages of an episode, as seen in Figure 16.

A potential explanation could be the fact that a combination of an increased state-space by the stacking of images and the ability to perceive movements better when the drone is positioned sideways is impeding the learning process. Positioning itself to the side at some point during the training process could lead to higher rewards for the agent. Once this behavior has been reinforced through a large number of training cycles, it is increasingly hard for the agent to unlearn this behavior. This is especially the case considering that the DQN samples from a the larger replay buffer, reinforcing its memory that these actions lead to higher rewards. Furthermore, when these sequences of actions lead to later situations that are problematic, as seen in Figure 17, the increased state-space also impedes the agent even more to find better action sequences.

Finally, looking at the single depth agent, the higher values in average return are being reflected in its behavior. The overall flight paths are smooth, even compared to the baseline. Its behavior is therefore very stable, further emphasizing its stability as was observed in its reward distribution (Figure 15). Furthermore, no episode has ended in the agent losing sight of the person.

In contrast to the other agents, the performance of the depth agent points to the simplifying ability of using a depth map state-space. Additionally, the opposite can be said about the use of stacks of images. Increase in state-space in this manner is not helpful, at least in environments where they do not convey useful additional information and the opposite effect with regards to the stacking the state-space. Comparing the agents to the baseline, indicates that there is a benefit of using RL methods combined with depth maps over the baseline in the task of follow-me behavior in an obstacle-free environment. Meanwhile, the use of normal images and stacked imaging do not provide useful additions to an agent in this context.

#### 6.4 BlocksObstacles Environment

In this section, a similar procedure will be performed in the BlocksObstacles environment. Additionally, extra analysis regarding the behavior of the agents will be performed to get a grip on the bottlenecks of each specific agent. Furthermore, using the best working agent from the initial training and test runs, another agent will be trained using the same architecture, however with a different reward function. This will be performed in order to measure the impact of the reward function on the acquired behavior in the context of an environment that contains obstacles. The training procedure of all of these agents will be described, which will then be followed by the test results of each of them.

# 6.4.1 Training Process

The training process has proceeded very similarly as in the BlocksNormal environment, with some extra points of attention. The exact process can be observed in Figure 18. The most notable point that can be seen is that the agents were not able to converge their average episode length to the maximum. Some performed better than others however, but none of them were able to exceed a 25 step average. An overall trend in all the metrics is a significant decrease in performance compared to the previous environment. This was to be expected looking at the drop in performance of the baseline in this newer more complex environment.

Another interesting point to note is that in the training average metric, we see that the stacked depth model was able to get to its convergent value the soonest. Especially the single normal image model underperformed compared to the other two models, which converged around a similar value. These features are also observed in the evaluation average return metrics, where the stacked depth image model reached the highest values the quickest.

Finally, the maximum return of the agents did reach a convergence value of almost 50, meaning, again, that the agent's best episodes were the best that the agent could have done in these situations. At the same time, the minimum return of the evaluation episodes were much lower in comparison to the Blocks training cycle. These features show that the models have diverged much more to the extreme, especially in the lower ends, which resulted in the reduced performance. It is clear that the obstacles in the environment have had a worse effect on the minimum return and not so much on the highest return.



Figure 18: Training Process in BlocksObstacles Environment

## 6.4.2 Test Results

Next, we will look at the results that were obtained from testing the models trained in BlocksObstacles environment in their own environment. The test runs results for this can be seen in Table 7. This time, the expected return looking at the baseline degradation has also been included and the increase in performance compared to the expected return can also be seen. Finally, drop in performance compared to the last environment has also been added.

These results show that the additions have had a positive influence on the performance of each

**D** . *m* 

Agent	Average return (max. 50)	Expected return*	Difference expected return**	Difference previous environment***
Baseline	22.6	n.a.	n.a.	-43.7 %
DQN - Single Normal	19.1	19.8	-3.5 %	-45.7 %
DQN - Stacked Normal	24.8	18.0	+37.7~%	-22.3 %
DQN - Stacked Depth	30.1	23.6	+21.6~%	-28.3~%

Table 7: Average return comparisons of test runs in BlocksObstacles

\*The expected return has been calculated by using the degree of degradation of the baseline going from the BlocksNormal to the BlocksObstacles environment. In this case, it was 43.7%.

\*\*The percentage change in performance of the agents compared to the expected return.

\*\*\*The performance change for each agent compared to their counterpart in the previous environment.

consecutive agent implementation. Most notably, the use of stacked images has proven to be a more useful addition to the agent than previously, having the largest performance boost compared to the expected performance. Next to this, the fact that the performance difference of the stacked normal agent is significantly less than the single normal image also emphasizes this point. This is the case for both the comparison between the trained agent in the previous environment, but also for the comparison to the expected return. Meanwhile, the use of depth imaging had even better results. Although the difference has been slightly higher, the overall performance is still the highest. Seeing as the depth agents have been the best performing models in both environments, this slightly higher performance difference is not unsurprising. Overall, the stacked and depth agents outperformed the baseline performance, both in expected degradation and average return.

These results show an initial clue that the use of RL algorithms can produce better performing models compared to the baselines. However, the state-representation used for these RL agents does matter. Further details will be elaborated upon in the next section. The specific behavioral elements that comprise these results will be analyzed next.

#### **Reward Distribution**

Looking at the rewards that each agent received on an average episode during the test run, which can be found in Figure 19, we see some differences between the agent's behavior.



Figure 19: Reward distributions of models trained in BlocksObstacles environment

The only difference that stacking the images does is a slightly larger reward during the intermediate steps of an episodes. This means that the agent is in goal states for a longer duration, before dropping to the lower values. However, both of these agents, in contrast to the stacked depth image model, have a downward trend. The longer the episode, the more the agent struggles with follow-me behavior, getting itself in crashes, losing sight of the person or simply being too far. However, the stacked depth image model can keep a steady value from the 15th frame onwards, despite a drop in the initial frames. It is clear that the previously observed stable behavior in the BlocksNormal environment is also appearing in this new environment. Again, the use of depth maps has been a beneficial aspect with regards to the agents developing overall stable behavior. Nonetheless, the depth agent still is struggling with later stages in an episode. The behaviors responsible for these distributions will be analyzed next.

#### Behavior

The next analysis will look at the flight paths of the agents in the BlocksObstacles environment. The visualization for these paths can be seen in Figure 20. Additions to this figure are the areas in which the episode ends have taken place. These areas will be used to analyze the specific obstacles and situations the agents struggled with. The flight paths without these areas can be seen in Figure 30 in appendix A.



From these images, the erratic behavior of the single normal image is visible again. Furthermore, the stacked normal agent also repeats the pattern of behavior of positioning itself to the side of the person, however this time on the left side.

Moving on to the next agent, the depth agent, the same pattern of smooth and stable flight paths can be observed as previously. Nonetheless, in this environment, the number of collisions and out of views has increased, as can be observed in Table 8. The specific behavior that resulted in these numbers will be further analyzed. This will be performed by looking at each type of obstacle separately. What ending location corresponded to what area in this count can be observed in Figure 20.

#### Hallways

The two types of hallways will be discussed further, starting with the wider hallway. This type of situation has been comparatively the easier obstacle to deal with by the agents. Here, the only

Agent		Collisic	ons	<u>Total</u>	0	ut of V	<b>'iew</b>	$\underline{\text{Total}}$	Total Overall
Obstacle Type	Tight	Wide	Corners		Tight	Wide	Corners		
Baseline	0	0	20	20	2	0	30	33	53
Single Normal	25	3	18	46	0	4	39	$\underline{43}$	89
Stacked Normal	17	7	14	38	0	0	24	$\underline{24}$	62
Stacked Depth	0	0	25	$\underline{25}$	0	0	24	$\underline{24}$	49

Table 8: All of the unsuccesful ends inside of the BlocksObstacles environment test runs

agents that have had problems have been the normal image agents. The fluctuant pattern of the single normal agent results in a sporadic end where it crashed against the wall. However, the other times the agent crashes or loses the person, it is as a run-up to the oncoming turn of the person. Looking at the flight patterns in Figure 30, these mishaps are the results of the overall volatile behavior that this agent exhibits and not of a specific behavior pattern that was learned by the agent.

Adding stacked images to this agent has shown the number of crashes in the wider hallway situation to be increased, while the times it lost sight of the person brought to zero. Looking at the flight patterns of this agent, much as in the previous environment, it clearly has a tendency to position itself to the side of the person. However, this time to the left. This, unfortunately, positions the drone in such a way that the walls are not visible anymore and a crash occurs. One such situation can be seen in Figure 21.

Image: view of the drone | Arrows: Action the agent performed



Figure 21: How the stacked normal agent crashes into the wall from behind

This behavior is a continuation of the problems during the learning process that was described in the previous section and is probably explained by a similar interpretation. However, a possible explanation for why this time the preferred side of the agent to position itself differs, is a random choice early in the learning process. Developing this behavior early in the training process, an initial choice about which direction to go is made randomly. It is then hard to unlearn this behavior, as mentioned earlier, because of the replay buffer sampling of the DQN.

Looking at the tighter hallways, these situations have been a more difficult setting for some of

the agents to deal with. Again, a similar division of the agents can be perceived, where the normal image agents have the most trouble with this situation, and the baseline and depth agent had no problems at all. For the two problematic agents, the pattern of behavior that leads to these failed endings are similar to those in the wider hallway. The increase in frequencies can be explained by the fact that the hallway is tighter, bringing about these situations sooner and more often. The baseline, which follows the path of the person very tightly, logically did not struggle with this situation. However, interesting to note is that this type of following is not achieved by the normal image agents, but has been by the depth agent, as reflected in the table. These findings additionally reinforce the hypothesis that the depth state-space allows for easier learning of behavior compared to the grayscale state-space. On top of that, the use of stacked state- representation seems to ba a valuable addition in some contexts. Here, the environment and the type of pixel value matters.

#### Corners

With regards to the corner situations, the variations to the agents proved to be useful additions that allowed them to better avoid the obstacles. However, the ability to perform significantly better than the baseline in this aspect is not observed. The single normal image had trouble completing any episode successfully, as can be seen in Table 8, struggling the most with corners, where a large portion of the collisions and out of view endings happened. This effect likely explained by the high volatility of its behavior that results in many different types of episode ends and beginnings. Overall, these results more strongly emphasize the problems of using single normal images as a state-representation, especially compared to the other agents. What's more, this agent does not show clear advantages over using the baseline method.

The stacked agent was recorded having significantly less endings where the drone lost sight of the person. The number of times that a collision has occurred has decreased, but not by a large margin. This is explained by the fact that instead of having trouble in multiple places, the agent now is struggling at more specific moments, which in this case is the moment halfway through a turn. An example of the agent in this situation can be seen in Figure 22.



Figure 22: How the stacked normal agent loses the person

It is apparent that the agent is upholding the behavior of following the person from the left. In some cases, it allows the drone to pass the first corner but holding on to this behavior leads to the problem of being blindsided by the wall that is appearing moments later. When in the situation of the last two frames of the figure, the agent is too close and unable to correct is behavior on time before losing sight of the person. In the clusters in the bottom left turns, the antithesis to this behavior is visible. Here the drone is too far away from the person to handle the final stages of the turns, resulting in losing the person. Finally, the top two turns have their own clusters, but in these situations, collisions are more apparent. This difference is because in the bottom turns, the drone is coming out of the wider hallway, which lends more space to position itself accordingly. In the top, the drone is coming out of the tighter hallway, lending less space for such manoeuvers. These results show that the stacking of images helps the agent in overall performance, meaning that the agent spends more time in goal states compared to the previous implementation. However, significant improvement in performance compared to the baseline is missing, and the increase in unsuccessful episode ends emphasize shortcomings in the learned behavior.

Finally, the depth agent was struggling exclusively in the corners, mimicking the baselines behavior much more. As can be seen, in the top two corners, the agent has clusters of crashing, while in the lower two it is exclusively losing sight of the person. Again, this difference is explained by drone exiting either a wide or tight hallway. The baseline exhibits the same behavior. However, an interesting difference, is that the stacked depth agent has taught itself to position itself much closer to the person, as can be seen in Figure 23.



Figure 23: How the stacked depth agent loses the person

It does so especially during the moments where the turn is occurring, in order to move past the first wall, so that it can focus on centering the person in its view. However, the issue is that after this has been done, the person is walking towards the second corner, which the drone is unable to see and correct for on time. What is interesting about this behavior is that it shows the ability of the agent to learn how to navigate itself around the first corner. It is struggling with the second stage of this process, because it is unable to observe the wall in order to act on time. These patterns are furthermore emphasized by the comparison of the flight paths seen in Figures 20 and 16. For the general flight paths, Figure 30 in appendix A can be consulted.

Interestingly, when looking at the flight paths of the depth agent in this environments compared to the BlocksNormal environments, it appears that the shape is different. The shape is clearly correcting for the obstacles in the former environments. It is unfortunately not always able to successfully finish this route, but the behavior does show that the agent is aware of the structures and has taught itself behavior to avoid it, albeit not completely infallible.

A possible reason for why these situations are still so hard for the agent to deal with, could relate to shortcomings of the DQN agent. Considering the fact that DQNs are implemented using a memory buffer, they sample from their memory during the entire learning process. Seeing as most of the time, the person is walking straight, the largest proportion of experiences in the training batch will include transitions where the person is walking straight. Overall, this creates a bias in this replay buffer towards straight walking experiences, making it much harder for the agent to learn what to do with the turns. Nonetheless, compared to the baseline, these results have shown promising results for the capabilities of RL agents to learn behavior to avoid obstacles.

# 6.5 Warehouse Environment

The final environment in which tests have been performed, is the Warehouse environment. Here, the best performing agent from each environment is tested. Since these had different staterepresentation, the better working version of these agents in the Warehouse environment test runs will be retrained inside of this environment.

#### 6.5.1 Training Process

After the tests runs have been performed, for which the results and their corresponding elaboration can be seen in Section 6.5, the best performing model was the stacked depth model. The training process for this agent can be observed in Figure 24.



Figure 24: Training of Stacked Depth agent in Warehouse

The results for the training of the stacked depth agent inside of the warehouse environment show

a similar degradation in training performance as was perceived in the BlocksObstacles environment compared to the BlocksNormal. However, the degree of this degradation in this environment is lower. The average episode length during training time converged around a similar value than the BlocksObstacles environment, which was around 20 steps. Furthermore, both the average return during training time and evaluation time converged around a value of 10. These are only marginally lower than their counterparts in the BlocksObstacles environment, being 10 and 20 respectively. This is most likely cause by a lower minimum return, being almost never higher than zero. Next to this, the maximum return is also lowered, not reaching the maximum score of 50. The complexity of the environment has added increased difficulty for the learning process of the agent. The test results will elaborate on whether this also has an impact on the degree to which the agent behaves inside of the environment compared to other agents.

# 6.5.2 Test Results

The final tests that have been performed all focus on the generalizability of RL agents to a new, more complex environment. This will be performed by using the degradation in performance that was observed in the baseline performing in the new environment. This drop in performance of the baseline is compared to the trained DQN - single depth agent in the BlocksNormal environment, the trained DQN - stacked depth agent in the BlocksObstacles, and a retrained DQN - stacked depth agent in the Warehouse environment. The average returns and the compared performance drops can be observed 9.

	Average return	Expected	Difference	Difference	
$\mathbf{Agent}$	$(\max, 50)$	return*	expected	previous	
	()		return**	environment***	
Baseline	9.9	_	_	-56.2 %	
(Normal) Single Depth	11.5	11.5	0 %	-72.7 %	
(Obstacles) Stacked Depth	13.8	13.1	+5.3~%	-54.1 %	
(Warehouse) Stacked Depth	21.6	_	+64.9~%	-28.3~%	

Table 9: Average return comparisons for the test runs in the Warehouse environment

\*The expected return has been calculated by using the degree of degradation of the baseline going from the BlocksNormal to the BlocksObstacles environment. In this case, it was 56.2%.

\*\*The percentage change in performance of the agents compared to the expected return.

\*\*\*The performance drop for each agent compared to their counterpart in the previous environment.

Unsurprisingly, the baseline was the most underperforming agent of the set. However, the transferred agents, from both environments, only improved slightly. This is especially visible when compared to the expected return according to the degradation of the baseline. The single depth agent, trained in BlocksNormal, showed the exact amount of performance degradation as the baseline. Furthermore, the BlocksObstacles trained agent only improved slightly compared to the expected results. Nonetheless, the performance drop compared to their trained environments, can be seen to be the lowest for the BlocksObstacles trained agent. Nonetheless, the newly trained agent had the overall better performance. The added complexity of the obstacles formed a much larger problem for single depth agent. These results suggest that there has been little transfer of knowledge to the new domain. However, to further confirm these findings, a more in-depth look will be taken at their specific behavior.



Figure 25: Paths and episode ends of all the agents during a 100 episode test run in the Warehouse environment. The green lines represent the flight paths of the agent.

The areas correspond to the following situations: Green = Tight hallways Blue = Wide hallways Yellow = Corners

The dots correspond to the following episode ends: Red = Collisions Blue = Out of View Yellow = Normal

Visible in Figure 25 is the fact that the depth images trained in environments with obstacles, showed the similar stable behavior that was observed in the previous two experiments, is visible in this one as well. However, what is interesting, is the fact that the agent trained in BlocksNormal was much more erratic. More surprisingly, is that a similar behavior that was observed in the stacked normal image agents, is now visible in this agent. The agent is trying to position itself to the left of the person throughout the episodes. This results in the increased crashes and out

of views that is visible in Table 10. This behavior only being expressed in this environment, is a sign that the agent is confused with the state-space. Not having been trained on any state that included obstacles, now clearly shows to be a problem for the agent in spaces where there are objects. This perturbs the agent so much as to illicit this unusual behavior, with a bias towards the "move left" action. This shows that there is a limit to the generalizability capabilities of RL. Not having seen any instances of a given situation renders the agent incapable of acting accordingly. This explains the minimal transfer of its behavior to this new environment, as seen in Table 9. Further emphasizing this point, is Table 10.

Table 10: All of the unsuccesful ends inside of the Warehouse environment test runs

Agent (trained in:)		Collisic	ons	Total	0	ut of V	<b>'iew</b>	Total	Total Overall
Obstacle Type	Tight	Wide	Corners		Tight	Wide	Corners		
Baseline	0	1	15	16	5	13	42	60	<b>76</b>
BlocksNormal	21	8	27	$\underline{56}$	0	5	51	38	94
BlocksObstacles	0	0	22	22	0	0	25	$\underline{25}$	<b>47</b>
Warehouse	1	0	2	3	11	12	45	68	71

What is interesting to see is that the two stacked depth agents performed better, but their results are mixed. The stacked depth agent trained in the BlocksObstacles environment scored a lower average return, but had much less unsuccessful episode ends. These two results contradict each other. What seems to happen is that the retrained agent had much more trouble with not losing the person in the corners. Looking at the behavior, it is clearly visible that the agent is following the person much more closer than in the previous environment, as can be seen in Figure 26.



Figure 26: How the stacked depth agent comes very close

This behavior is much more helpful with avoiding crashes and keeping the person in its FoV, however, it is not helpful for receiving reward, as is reflected in its average return. This is not reflected in the behavior of the stacked depth that has been retrained in the warehouse environment. However, this agent is impeded by the fact that it has not learned how to deal with these obstacles accordingly and keeps losing the person from its view. It has, however, taught itself to to avoid crashes, suggesting its ability to sense the obstacles and avoid them enough to not crash. Looking at the distribution of unsuccessful episode ends, both agents were mostly struggling in the situations of corners. The difference between the agents in the other two situations stems from the fact that the BlocksObstacles trained agent is closer to the person, leading to failed ends closer to the corners. On the other hand, the retrained agent is much farther and is therefore failing in similar situations nonetheless. These differences show that the best approach is still to train an agent in a specific environment. Doing this gives an agent the familiarity with the relevant situations to be able to behave accordingly. Nonetheless, transferring this knowledge from different environments still shows promising results, as the transferred agent behaved quite similarly as it had in the previous environment. Emphasizing this even further, is the fact that compared to the baseline, both agents performed significantly better.

#### 6.6 Reward Functions Comparison

In the final test, the reward function has been slightly adjusted as described in Section 5.2. Using the best working agent from BlocksObstacles, which was the DQN - stacked depth agent, a new agent was trained using this new reward function. This reward function was a slight decrease in goal states. By making the margins of the goal distances to the person smaller, the reward function is made stricter. The overall reward function is thereby made even sparser. Testing this newly trained agent will give insights into the effect of the reward function on the acquired behavior.

### 6.6.1 Training Process

The training process of this agent, as can be seen in Figure 29 in Appendix A, has proceeded almost identical to the training of the same agent using the normal reward function. These results are unexpected seeing as the reward function has been made even sparser. Seeing as there are even less states in which the agent receives a positive reward, it would be increasingly hard for the agent to find its way to these goal states. Considering this, the rewards should be overall lower, as the complexity of the problem has increased. Nonetheless, it appeared to converge at exactly the same values. These results potentially show that the agent learned the exact same behavior as before, leading to the similar learning results. This will analyzed below.

# 6.6.2 Test Results

Having trained the agent using a new reward, a comparison can be made the same agent trained using the normal reward. This comparison can be seen in Table 11.

Reward function	Average return (max 50)	Difference*
Normal Reward	39.1	+65.7~%
Adjusted Reward	30.2	_

Table 11: Average return of the retrained agent with an adjusted reward function

This table shows that testing the agent using the adjusted reward has given a similar reward as the agent trained with a normal reward (Table 7). What is interesting, however, is that when testing this agent using the normal reward function, the average return is much higher. Seeing this increase by itself is not a surprising result, considering as the adjusted reward is much more strict compared to the normal one. These results show that the more precise a reward function is, the more focussed the agent becomes in keeping in these goal states. This is beneficial seeing as normally sparse reward functions make the learning process harder, decreasing reward. Important to note, is that there is a behavioral change, showing that although the training process was similar, the agents have learned different behaviors, which will be analyzed next.

Looking more specifically at an average episode in Figure 27, it is clear that this agent is better able to maintain the original level of rewards received in the early stages of the episode.

<sup>\*</sup>The difference is calculated considering the expected reward for the Stacked Depth model as referenced in Table 7. This expected reward was 23.6.



Figure 27: Reward Distribution of agent trained in BlocksObstacles with adjusted reward function. The collected rewards have been measured using the normal reward function.

Next to this, the decreasing trend afterwards is less apparent than the agent trained using a normal reward. It is in these initial stages especially that this agent is earning more rewards compared to the normal agent. How this is achieved with behavior can be observed in Figure 28.



Figure 28: Locations of each type of situation in the environments

The dots correspond to the following episode ends: Red = Collisions Blue = Out of View Yellow = Normal

As can be seen, the overall behavior seems similar. Many of the earlier mentioned behaviors are apparent again, namely: keeping a stable flight path; staying close to the person's walking route; and having no trouble with the hallways situations. These aspects are further emphasized by the frequencies of unsuccessful episode ends as seen in Table 12.

However, a difference between the agents, is that the agent trained using the adjusted reward had overall less unsuccessful episode ends than before. However, what is more interesting, is the exact location in which these unsuccessful ends have happened. For the agent trained with the Table 12: Episode ends for the same agent trained using two different reward functions in BlocksObstacles

Agent trained using:	Out of View	Collisions	Total $(/100)$
Normal Reward	24	25	49
Adjusted Reward	21	21	42

normal reward, there were multiple corners that were problematic. With collisions and out of view clusters at both the start and ending of the turn. However, this agent specifically had problems with the entrances and exits of the tight hallways. As can been seen in Figure 28, the agent trained using the restrictive reward function mostly had collisions in the top two turns, in the first stages of exiting the tight hallway. An explanation for why it is struggling here is similar as in the BlocksObstacles environment. Leaving the tight hallways is hard as there is less room and the agent needs to explicitly move around it, which is a harder task in this situation than leaving the wider hallway. Next to this, entering the tight hallway is also a problem for the agent, seeing as there is another cluster of collisions in the bottom left turn.

A positive point, nonetheless, is the fact that this agent is not struggling with the rest of the turn. This shows that the agent has learned to perform the rest of the turn successfully. This is an improvement compared to the agent trained using the normal reward, as this agent had trouble with both corners in the top turns. Making sense of this, the agent has taught itself to follow the person much more tightly than the previous agents. Such behaviors are advantageous in situations where there is more room to make the turns. However, they also pose problems in the situations where there is no such room. It is apparent that the agent struggles more in these situations, and less in these others. This juxtaposition nonetheless shows an improvement in overall performance, and only a slight improvement in the unsuccessful episode ends. Overall, however, this reward function has been a beneficial addition to the learned behavior of the agent.

Concluding, changes to the reward function have a strong influence on the learned behavior. Considering the results of this experiment, minimal changes to the reward functions improved the behavior significantly. These findings further reinforce the aforementioned concepts that the reward signal is the foundation for the learned behavior of an RL agent. Other tests with the possibilities of different reward functions are still required, but are out of the scope of this thesis.

# 7 Discussion

In this section, we will discuss the overall conclusions that can be drawn from the results in perspective of the posed research questions (Section 4). After this, the limitations and problems of this thesis, together with possible future work that could be performed will be discussed.

# 7.1 Experiments and Research Questions

Looking at the experiments, the previously posed research questions will be addressed. Each of these questions will be used as a perspective on the acquired results.

#### 7.1.1 Directionality

To start, the first research question investigated whether the use of stacked imaging improved the training process and performance in the Follow-Me task. What has becomes clear, is that there is a benefit to stacking the frames. However, there is a caveat that needs to be added, which is that the context in which the agent is operating matters.

Looking at the results, the stacked normal image agent outperformed the single image input model in the BlocksObstacles environment. However, in the BlocksNormal environment, it did not. The reason for this difference is that the stacking of the images as an input increased the statespace unnecessarily. When the images are stacked, the state-space is increased drastically. The expectation was that this increase would nonetheless provide sensible information that the drone could use to learn the required behavior faster and better. However, the lack of this observation in the obstacle-free environment, but the presence of it in the BlocksObstacles environment, confirms that this increase is only relevant in certain environments. Specifically, agents trained in environments that require the agent to handle obstacles are aided with this new state-representation. Such results emphasize that overall increases in state-spaces of an RL problem should be accompanied with valuable information for the agent to better optimize its reward. If this is already possible without this increased state-space, its learning process is only impeded. Such impediments lead to problematic behaviors, as observed in the results. In both environments that it was tested in, the agent taught itself a behavior that positions itself next to the person. In both environments, this lead to problems that the agent was not able to unlearn or deal with. This also lead to a high number of collisions or out of view moments, making this agent still prone to strong shortcomings.

These results further reinforce the usefulness of using stacked imaging as an initial test for whether directionality in state-representation. Having been useful in initial deep RL domains [41], its potential is further enforced in this thesis. At the same time, its shortcomings in more complex tasks are also laid bare. Seeing as this technique is a very straight-forward communication of the required information to the agent about moving objects, there are still questions about how to perform this more efficiently. This thesis has shown that such techniques are only beneficial when their impact on the state-space is mitigated by the possible benefits that they could add. In the specific task of follow-me behavior, this specific technique was not significantly more beneficial compared to its absence. However, these results nonetheless show promising results for the testing of more complex solutions in solving this task, such as the earlier presented RNN implementations [17, 39].

In conclusion, possible benefits from using state-representations that include information about the directionality of objects within the environment can provide valuable information to the agent to perform follow-me behavior. However, the overall benefits compared to using a single image are not convincing, and there is room for better techniques to be implemented to deal with this type of information.

#### 7.1.2 Obstacle Avoidance

Next, the influence of depth maps in state-representation in the task of follow-me behavior has been tested. Overall, the results show implementing such information in the state-representation has significant benefits for the training process and its overall learned behavior.

Looking at the results, the depth agents have either performed equally to, or even better than, the baseline in each of the environments. On top of this, the expected degradation in performance, was not matched by these agents, showing that they have been able to perform above what could be expected of them in each environment. Furthermore, compared to their normal image counterparts, the depth agents learned positive behavior the fastest compared to the others in some environments. These benefits stem from the fact that this change in state-space leads to simplifying the relevant information for the agent to behave well, the antithesis of the problems that stacking the images caused. To explain, two important aspects are required for the agent to perform follow-me behavior adequately. The drone should be able to sense the target object to follow and it should be able to sense its surroundings in order to see whether objects are in its way. The implementation of depth maps simplifies this latter information. Where normal images can represent walls in a variety of combinations of pixel values, depth maps represent these areas by their distances alone. With this, the information is simplified and it becomes easier for the drone to map such states to appropriate actions.

These advantages translate to their learned behavior as well. In both the BlocksNormal and the BlocksObstacles environments, this agent outperformed all the other agents. With very stable movements and keeping a close route to the person's walking path, this agent has been able to find better optima in its search for behavior that maximizes the reward. Compared to the normal image models, depth map agents had no problems with hallway situations, where no collisions have been recorded. On top of this, these agents also showed a slight improved ability to learn to avoid corners, having less problems with these situations than the other agents. Nonetheless, there are still points of improvements in this aspect, as these agents were not able to deal with corners adequately.

The advantage to using depth maps over normal images has not been researched in the context of follow-me behavior. However, previous implementations using depth-maps to aid agents (including other vehicles) have been shown to be successful [14, 42]. Their use has shown that agents can benefit from being able to sense surrounding objects when this is required for a task to be completed. In the context of follow-me behavior, this thesis has presented the benefits to this specific task. Nonetheless, there is still room to explore other technique that sense objects, as mentioned before [20, 44].

Concluding, the results confirm the hypothesis that the addition of depth images as a means to replace a normal images, is a positive influence on the performance and training of a RL agent in the task of follow-me behavior.

#### 7.1.3 Baseline

Next, the third research question addressed the advantage of using RL methods over a heuristic inspired baseline. The results have shown that in cases where the perfect behavior has been adapted for, there are minimal differences between RL algorithms and agents that behave according to static rules. However, when adaptive behavior is required in exceptional situations, this difference is increased in the favor of RL method.

Emphasizing this more strongly, the results have shown that in the BlocksNormal environment, where no obstacles are present, the set of rules that determine the baseline's behavior are sufficient to behave adequately. RL agents, in this context, are nonetheless able to match this. However, when obstacles have been added, this changes. Baseline methods are unable to adapt, as expected, and the advantages of trained RL agents are visible. Their degradation in performance was much less compared to the baselines degradation, showing that these agents have been able to adapt their behavior accordingly in these new situations. This is further explained by the behaviors that the agents exhibited. The baseline agent, with its static behavior, has specific problems that it is not able to deal with. However, RL agents have shown an ability to adapt their behavior to different situations, resulting in better performances overall. Even though problems persisted with the RL agents, their ability to adapt according to their environment shows the clear advantage that RL has over heuristic based approaches where new programming is required for each new situation.

Looking at previous research in object tracking using similar heuristic rules [19, 20, 21, 22], it becomes clear that adaptive behavior is still very relevant, especially for dynamic object tracking tasks. Even though additional technologies improve the conditions in which these agents operate, their static foundation still can be improved using adaptive learning methods, such as RL. This thesis has presented the clear benefits of training such agents in these settings as opposed to adapting baselines.

In conclusions, these findings reinforce the hypothesis that RL do provide advantages over more pre-programmed approaches in performing follow-me behavior.

#### 7.1.4 Generalizability

Finally, the last research question studied the generalizability of RL from simpler environments to more complex environments. Agents trained in simpler environments, have been tested in a more complex environment to see how much of the behavior has been transferred to these new situations. The results showed that RL methods do have generalizability, but show limits to the situations it can correctly infer.

Specifically looking at the agent that was trained in BlocksNormal, it showed that it was strongly perturbed in the Warehouse environment. Not having seen these states during training, the agent is unfamiliar with these new states and performs unexpected behavior that was not observed in the training environment. RL agents trained in obstacle-free environments are therefore unable to generalize their behavior to environments where obstacles are present. On the other hand, the agent trained in BlocksObstacles, showed much more behavior transfer to the Warehouse environment. Although its average return showed marginal improvement, its behavior showed many similarities when compared to its performance in its own environment. The difference between training an agent and transferring its knowledge seemed marginal as well, giving promising results for the ability of RL to generalize learned behavior to more complex situations. Looking at earlier attempts at transferring behavior to new environments [12], shortcomings were observed regarding state-space. The use of normal images showed reduced generalizability to new environments, especially when colors and textures were changed. In this study, the agents have been trained using depth imaging and their generalizability has been tested. The generalizability of these agents has shown promising results and show the ability of RL agents to perform adaptive behavior to more complex environments. Furthermore, tests about whether RL agents are able to be trained in simple environments, and transfer this behavior to more complex environments have been missing.

Many studies have shown problems with models developed in simulation environments being transferred to the real world [12, 17]. The increase in complexity in this transition is an impediment to many agents that have been developed for a variety of tasks. The development of adaptive agents that are able to flexibly transfer behavior to real-world application is still relevant. The findings of this study have shown potential indications that RL agents are able to perform such adaptable behaviors.

The findings in this study further emphasize the utility of using RL agents in developing more general behavior to be used in a variety of situations. Especially considering the comparison with baselines, where such behavior require pre-programming.

# 7.2 Limitations and Future Work

Some topics of improvement require further attention. This dissertation has shown the overall usefulness of RL in the task of follow-me behavior. However, some elements could use some more in-depth research.

To start, even though the implementation of the DQN has shown promising results in learning behavior, there might be some drawbacks to using this type of learning. As has been seen in the results, the best performing agents still struggled with some situations. The reasons for these struggles are most likely caused by a bias in the memory buffer of the DQN agent towards situations that occur most often. This lends itself to studying whether training methods that include weight experiences, such as Prioritized Experience Replay [57], could improve the behavior sufficiently to solve these issues. Furthermore, other agents learn using different methods, some of which being without a memory buffer [23, 25, 26]. Potential future work could investigate whether these agents are valuable additions in improving the drone's behavior.

Furthermore, the action space of the agent in this study has been turned discrete as a means to

implement the DQN. Relevant actions have been included in order to perform basic movements in the environment. However, there is also the possibility to give agents full control of the continuous actions space of a drone. Specific directions and velocities could be variables that an agent could take control of to ensure more stable behavior. Additional, vertical flight paths as a means to improve person centering could also be implemented. The ability of RL agent to take control of continuous action spaces has been shown previously [8, 14]. Interesting research could be performed about whether RL agents with abilities to handle continuous spaces [23, 25, 26, 24] could perform follow-me behavior.

Moving on, as shown in the results, the reward function is a fundamental element when it comes to the behavior that is learned. For RL algorithms, the goal behavior is synonymous with maximizing the reward function [11], meaning that the reward function has an essential relationship with the learned behavior. In this thesis, the choice has been made for a sparse reward function because of the possible problems that could be encountered with using the alternative manually shaped reward functions [35, 36]. However, as shown in the results, even within the specific reward function created in these experiments, there is room for further shaping. Restricting the rewards even more changed the behavior of the agent measurably. There could still be different reward functions that could improve overall behavior in ways as to reduce collisions and other problems. Such investigation have fallen outside of the scope of this research, but they could be an inspiration for future work in developing follow-me behavior.

Next, the techniques used to test different state-representations have shown promising results, but more research could be done in different types of techniques. As mentioned before, the use of stack imaging is a straight-forward approach to providing an agent with information about dynamic objects [41, 42], however, there are still others that could improve overall results. Different architectures of RNNs could be implemented to improve these skills. Furthermore, with the goal of further deployment in real world applications, there is still considerable room for future work into different methods of creating depth maps, as there is always some margin of error in such methods [44, 45, 46].

Finally, one shortcoming of RL algorithms is that they suffer from results that are hard to reproduce [58]. RL is extremely sensitive to changes in the hyperparameters leading to completely different results. Considering the fact that in the scope of this thesis, no hyperparameters search has been performed, it is not clear that these results are completely optimal. However, since the goal has been to test the agents in comparison to baseline methods, these problems fall out of the scope of this thesis, but could potentially pose problems in further deployment of similar models.

# 7.3 Conclusion

To conclude, this thesis has investigated to what extent the use of Reinforcement Learning is a viable method for follow-me behavior using an autonomous drone. The results have shown that there is potential in using RL methods for this task, especially over straight-forward static approaches. Furthermore, implementing state-representations that incorporate information about the dynamic movements of objects and their distances, show strong advantages over RL algorithms that solely rely on camera inputs. Adding to this, the abilities of RL agents to transfer their behavior to more complex environments, show potential for the development of agents that teach themselves more general behavior to be applied in a larger variety of situations. Even though future work is required before deployment into real-world applications is possible, the use of RL shows strong advantages in the adaptive decision-making processes and generalizability.

# 8 References

- [1] "Rise of the drones." https://www.agcs.allianz.com/news-and-insights/reports/ rise-of-the-drones.html, Sep 2016.
- [2] W. Krüll, R. Tobera, I. Willms, H. Essen, and N. von Wahl, "Early forest fire detection and verification using optical smoke, gas and microwave sensors," *Proceedia Engineering*, vol. 45, pp. 584–594, 2012.
- [3] A. Muhammad, A. Shahpurwala, S. Mukhopadhyay, and A. H. El-Hag, "Autonomous dronebased powerline insulator inspection via deep learning," in *Iberian Robotics conference*, pp. 52– 62, Springer, 2019.
- [4] M. Al Zayer, S. Tregillus, J. Bhandari, D. Feil-Seifer, and E. Folmer, "Exploring the use of a drone to guide blind runners," in *Proceedings of the 18th International ACM SIGACCESS Conference on Computers and Accessibility*, pp. 263–264, 2016.
- [5] A. Claesson, D. Fredman, L. Svensson, M. Ringh, J. Hollenberg, P. Nordberg, M. Rosenqvist, T. Djarv, S. Österberg, J. Lennartsson, et al., "Unmanned aerial vehicles (drones) in out-of-hospital-cardiac-arrest," *Scandinavian journal of trauma, resuscitation and emergency medicine*, vol. 24, no. 1, p. 124, 2016.
- [6] V. Iastrebov, C. Y. Wong, W. C. Pang, and G. Seet, "Motion tracking drone for extreme sports filming," 2014.
- [7] R. Bartak and A. Vykovský, "Any object tracking and following by a flying drone," in 2015 Fourteenth Mexican International Conference on Artificial Intelligence (MICAI), pp. 35–41, IEEE, 2015.
- [8] N. Passalis and A. Tefas, "Continuous drone control using deep reinforcement learning for frontal view person shooting," *Neural Computing and Applications*, pp. 1–12, 2019.
- T. Greene, "2010 2019: The rise of deep learning." https://thenextweb.com/ artificial-intelligence/2020/01/02/2010-2019-the-rise-of-deep-learning/, Jan 2020.
- [10] M. Botvinick, S. Ritter, J. X. Wang, Z. Kurth-Nelson, C. Blundell, and D. Hassabis, "Reinforcement learning, fast and slow," *Trends in cognitive sciences*, vol. 23, no. 5, pp. 408–422, 2019.
- [11] R. S. Sutton, F. Bach, and A. G. Barto, *Reinforcement Learning: An Introduction*. MIT Press Ltd, 2018.
- [12] A. Anwar and A. Raychowdhury, "Autonomous navigation via deep reinforcement learning for resource constraint edge nodes using transfer learning," *IEEE Access*, vol. 8, pp. 26549–26560, 2020.
- [13] K. Ko, "Visual object tracking for uavs using deep reinforcement learning," 2020.
- [14] E. Çetin, C. Barrado, G. Muñoz, M. Macias, and E. Pastor, "Drone navigation and avoidance of obstacles through deep reinforcement learning," in 2019 IEEE/AIAA 38th Digital Avionics Systems Conference (DASC), pp. 1–7, IEEE, 2019.
- [15] V. J. Hodge, R. Hawkins, and R. Alexander, "Deep reinforcement learning for drone navigation using sensor data," *Neural Computing and Applications*, pp. 1–19, 2020.
- [16] C.-C. Chang, J. Tsai, P.-C. Lu, and C.-A. Lai, "Accuracy improvement of autonomous straight take-off, flying forward, and landing of a drone with deep reinforcement learning," *International Journal of Computational Intelligence Systems*, vol. 13, no. 1, pp. 914–919, 2020.
- [17] W. Luo, P. Sun, F. Zhong, W. Liu, T. Zhang, and Y. Wang, "End-to-end active object tracking and its real-world deployment via reinforcement learning," *IEEE transactions on pattern analysis and machine intelligence*, vol. 42, no. 6, pp. 1317–1332, 2019.
- [18] J. Clark and D. Amodei, "Faulty reward functions in the wild." https://openai.com/blog/ faulty-reward-functions/, Dec 2016.
- [19] W. Mao, Z. Zhang, L. Qiu, J. He, Y. Cui, and S. Yun, "Indoor follow me drone," in *Proceedings* of the 15th Annual International Conference on Mobile Systems, Applications, and Services, pp. 345–358, 2017.
- [20] T. T. Mac, C. Copot, R. De Keyser, and C. M. Ionescu, "The development of an autonomous navigation system with optimal control of an uav in partly unknown indoor environment," *Mechatronics*, vol. 49, pp. 187–196, 2018.
- [21] D. A. Mercado-Ravell, P. Castillo, and R. Lozano, "Visual detection and tracking with uavs, following a mobile object," *Advanced Robotics*, vol. 33, no. 7-8, pp. 388–402, 2019.
- [22] T. T. Do and H. Ahn, "Visual-gps combined 'follow-me'tracking for selfie drones," Advanced Robotics, vol. 32, no. 19, pp. 1047–1060, 2018.
- [23] M. Babaeizadeh, I. Frosio, S. Tyree, J. Clemons, and J. Kautz, "Reinforcement learning through asynchronous advantage actor-critic on a gpu," arXiv preprint arXiv:1611.06256, 2016.
- [24] N. Casas, "Deep deterministic policy gradient for urban traffic light control," arXiv preprint arXiv:1703.09035, 2017.
- [25] J. Schulman, F. Wolski, P. Dhariwal, A. Radford, and O. Klimov, "Proximal policy optimization algorithms," arXiv preprint arXiv:1707.06347, 2017.
- [26] T. Haarnoja, A. Zhou, K. Hartikainen, G. Tucker, S. Ha, J. Tan, V. Kumar, H. Zhu, A. Gupta, P. Abbeel, et al., "Soft actor-critic algorithms and applications," arXiv preprint arXiv:1812.05905, 2018.
- [27] V. Mnih, K. Kavukcuoglu, D. Silver, A. A. Rusu, J. Veness, M. G. Bellemare, A. Graves, M. Riedmiller, A. K. Fidjeland, G. Ostrovski, *et al.*, "Human-level control through deep reinforcement learning," *nature*, vol. 518, no. 7540, pp. 529–533, 2015.
- [28] I. Grondman, L. Busoniu, G. A. D. Lopes, and R. Babuska, "A survey of actor-critic reinforcement learning: Standard and natural policy gradients," *IEEE Transactions on Systems, Man,* and Cybernetics, Part C (Applications and Reviews), vol. 42, no. 6, pp. 1291–1307, 2012.
- [29] M. Roderick, J. MacGlashan, and S. Tellex, "Implementing the deep q-network," arXiv preprint arXiv:1711.07478, 2017.

- [30] J. Fan, Z. Wang, Y. Xie, and Z. Yang, "A theoretical analysis of deep q-learning," in *Learning for Dynamics and Control*, pp. 486–489, PMLR, 2020.
- [31] A. Devos, E. Ebeid, and P. Manoonpong, "Development of autonomous drones for adaptive obstacle avoidance in real world environments," in 2018 21st Euromicro Conference on Digital System Design (DSD), pp. 707–710, IEEE, 2018.
- [32] C.-C. Chang, J. Tsai, P.-C. Lu, and C.-A. Lai, "Accuracy improvement of autonomous straight take-off, flying forward, and landing of a drone with deep reinforcement learning," *International Journal of Computational Intelligence Systems*, vol. 13, no. 1, pp. 914–919, 2020.
- [33] M. A. Akhloufi, S. Arola, and A. Bonnet, "Drones chasing drones: Reinforcement learning and deep search area proposal," *Drones*, vol. 3, no. 3, p. 58, 2019.
- [34] A. D. Laud, "Theory and application of reward shaping in reinforcement learning," tech. rep., 2004.
- [35] M. Vecerik, T. Hester, J. Scholz, F. Wang, O. Pietquin, B. Piot, N. Heess, T. Rothörl, T. Lampe, and M. Riedmiller, "Leveraging demonstrations for deep reinforcement learning on robotics problems with sparse rewards," arXiv preprint arXiv:1707.08817, 2017.
- [36] A. Nair, B. McGrew, M. Andrychowicz, W. Zaremba, and P. Abbeel, "Overcoming exploration in reinforcement learning with demonstrations," in 2018 IEEE International Conference on Robotics and Automation (ICRA), pp. 6292–6299, IEEE, 2018.
- [37] M. Andrychowicz, F. Wolski, A. Ray, J. Schneider, R. Fong, P. Welinder, B. McGrew, J. Tobin, O. Pieter Abbeel, and W. Zaremba, "Hindsight experience replay," *Advances in neural information processing systems*, vol. 30, pp. 5048–5058, 2017.
- [38] O.-A. Maillard, R. Munos, and D. Ryabko, "Selecting the state-representation in reinforcement learning," arXiv preprint arXiv:1302.2552, 2013.
- [39] I. Rasheed, F. Hu, and L. Zhang, "Deep reinforcement learning approach for autonomous vehicle systems for maintaining security and safety using lstm-gan," *Vehicular Communications*, vol. 26, p. 100266, 2020.
- [40] Z. Ye, A. Gilman, Q. Peng, K. Levick, P. Cosman, and L. Milstein, "Comparison of neural network architectures for spectrum sensing," in 2019 IEEE Globecom Workshops (GC Wkshps), pp. 1–6, IEEE, 2019.
- [41] V. Mnih, K. Kavukcuoglu, D. Silver, A. Graves, I. Antonoglou, D. Wierstra, and M. Riedmiller, "Playing atari with deep reinforcement learning," *arXiv preprint arXiv:1312.5602*, 2013.
- [42] L. Xie, S. Wang, A. Markham, and N. Trigoni, "Towards monocular vision based obstacle avoidance through deep reinforcement learning," arXiv preprint arXiv:1706.09829, 2017.
- [43] J. Riachi, "Z-depth map expanding exif data for more powerful post-processing: Theme." https://the.me/ z-depth-map-expanding-exif-data-for-more-powerful-post-processing/, Nov 2013.
- [44] J. Hecht, "Lidar for self-driving cars," Optics and photonics news, vol. 29, no. 1, p. 26, 2018.
- [45] A. t. A. R. 3D, "Comparing three prevalent 3d imaging technologies." https://www.revopoint3d.com/comparing-three-prevalent-3d-imaging-technologies-tofstructured-light-and-binocular-stereo-vision/, Nov 2019.

- [46] A. Saxena, S. H. Chung, and A. Y. Ng, "Learning depth from single monocular images," in Advances in neural information processing systems, pp. 1161–1168, 2006.
- [47] L. Hardesty, "Explained: Neural networks." https://news.mit.edu/2017/ explained-neural-networks-deep-learning-0414, Apr 2017.
- [48] O. I. Abiodun, A. Jantan, A. E. Omolara, K. V. Dada, N. A. Mohamed, and H. Arshad, "State-of-the-art in artificial neural network applications: A survey," *Heliyon*, vol. 4, no. 11, p. e00938, 2018.
- [49] S. Khan, H. Rahmani, S. A. A. Shah, and M. Bennamoun, "A guide to convolutional neural networks for computer vision," *Synthesis Lectures on Computer Vision*, vol. 8, no. 1, pp. 1–207, 2018.
- [50] L. Hongtao and Z. Qinchuan, "Applications of deep convolutional neural network in computer vision," *Journal of Data Acquisition and Processing*, vol. 31, no. 1, pp. 1–17, 2016.
- [51] K. O'Shea and R. Nash, "An introduction to convolutional neural networks," arXiv preprint arXiv:1511.08458, 2015.
- [52] O. Russakovsky, J. Deng, H. Su, J. Krause, S. Satheesh, S. Ma, Z. Huang, A. Karpathy, A. Khosla, M. Bernstein, A. C. Berg, and L. Fei-Fei, "Imagenet large scale visual recognition challenge," *International journal of computer vision*, vol. 115, no. 3, pp. 211–252, 2015.
- [53] S. S. Mousavi, M. Schukat, and E. Howley, "Deep reinforcement learning: an overview," in Proceedings of SAI Intelligent Systems Conference, pp. 426–440, Springer, 2016.
- [54] S. Shah, D. Dey, C. Lovett, and A. Kapoor, "Airsim: High-fidelity visual and physical simulation for autonomous vehicles," in *Field and Service Robotics*, 2017.
- [55] "Unreal engine: The most powerful real-time 3d creation platform." https://www.unrealengine.com/en-US/.
- [56] G. Hinton, "Lecture 6a: Overview of mini-batch gradient descent," Mar 2021.
- [57] T. Schaul, J. Quan, I. Antonoglou, and D. Silver, "Prioritized experience replay," arXiv preprint arXiv:1511.05952, 2015.
- [58] P. Henderson, R. Islam, P. Bachman, J. Pineau, D. Precup, and D. Meger, "Deep reinforcement learning that matters," in *Proceedings of the AAAI Conference on Artificial Intelligence*, vol. 32, 2018.

## A Appendix A



Figure 29: Training Process in BlocksObstacles Environment with Adjusted Reward





## **B** Acknowledgements

I would like to thank dr. ir. R. Poppe for his help and feedback throughout the research process. I would also like to thank ML6, and specifically Laurens Weijs, for the opportunity to perform such an interesting research project and the continued guidance throughout the whole process. Furthermore, I would like to thank Raphael Fortunato for the companionship during the last nine months throughout the whole project and for showing me the strong benefits of using open-source software and linux based systems. I would also like to thank Sofie Bracher for her help with making a very specific figure. Finally, I would like to thank Tine Meerdink, whose strong academic and writing skills have helped me think critically about my thesis and for the general support during the last months.