

**Cardiopulmonary exercise testing in ambulatory children with  
Duchenne and Becker Muscular Dystrophy: *a pilot study***

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*“ONDERGETEKENDE”*

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Bevestigt hierbij dat de onderhavige verhandeling mag worden geraadpleegd en vrij mag worden gefotokopieerd. Bij het citeren moet steeds de titel en auteur van de verhandeling worden vermeld.”

## 1 **Introductie**

2 De cardiopulmonale inspanningstest (CPET) is de gouden standaard voor  
3 inspanningscapaciteit en is mogelijk van toegevoegde waarde voor de evaluatie van  
4 het fysiek functioneren van kinderen met musculaire dystrofie. Er is weinig bekend  
5 over de respons van kinderen met musculaire dystrofie op dynamische inspanning  
6 vanwege de angst voor mogelijke bijwerkingen. Het doel van deze studie is om de  
7 haalbaarheid van de CPET te vergelijken met het Europees aanbevolen standaard  
8 test protocol (zes minuten wandeltest, motoriektest, kwantitatieve spierkrachttest)  
9 voor kinderen met musculaire dystrofie.

## 10 **Methode**

11 De CPET en het standaard test protocol werden binnen drie weken op verschillende  
12 momenten afgenomen bij een gelegenheidssteekproef van kinderen met Duchenne  
13 en Becker musculaire dystrofie die bekend waren in het Spieren voor Spieren-  
14 centrum van het Wilhelmina Kinderziekenhuis. De twee protocollen werden  
15 vergeleken ten aanzien van inspanningsparameters en uitkomstmaten voor  
16 haalbaarheid: testuitvoering, negatieve bijwerkingen en ervaren belasting.

## 17 **Resultaten**

18 Negen kinderen met Duchenne (N=3) en Becker (N=6) participeerden in deze studie.  
19 Vijf deelnemers (55%) voerden een succesvolle maximale of symptoom-gelimiteerde  
20 inspanningstest uit. Het standaard testprotocol werd door zes deelnemers goed  
21 uitgevoerd (67%). Er waren geen aanwijzingen voor rhabdomyolyse of cardiale  
22 afwijkingen na uitvoering van beide protocollen en de mate van spierpijn bleef binnen  
23 de vooraf opgestelde grenzen van normaal. De vijf deelnemers die een succesvolle  
24 CPET uitvoerden hadden een lage inspanningscapaciteit met cardiale en/of  
25 vasculaire beperkingen. Er was een grote variatie in ervaren belasting waarbij de  
26 leeftijd en ziekte ernst mogelijk van invloed waren.

## 27 **Conclusie**

28 De maximale cardiopulmonale inspanningstest lijkt een haalbare en relevante  
29 uitkomstmaat voor inspanningscapaciteit en cardiovasculaire beperkingen bij  
30 kinderen met relatief milde beperkingen. Toekomstig onderzoek naar de  
31 pathofysiologische mechanismen van cardiovasculair beperkingen en ontwikkeling

32 van veiligheidsmarkers draagt mogelijk bij aan een toenemend inzicht in de  
33 inspanningsrespons van kinderen met musculaire dystrofie.

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55 **Introduction**

56 The cardiopulmonary exercise test (CPET) is the gold-standard for exercise capacity  
57 and could be of additional value to the physical evaluation of children with muscular  
58 dystrophy (MD). Knowledge of response to dynamic exercise in MD is scarce  
59 because of safety concerns. The purpose of this study was to compare feasibility of  
60 the CPET to the current European recommended standard test protocol for physical  
61 performance of children with DMD (six minute walk test, motor performance test and  
62 quantitative muscle testing).

63 **Methods**

64 The CPET and the standard test protocol were separately assessed within three  
65 weeks in a consecutive sample of children with Duchenne and Becker muscular  
66 dystrophy. Test protocols were compared with regards to exercise outcome and  
67 feasibility parameters: 'measurement completion rate', 'adverse events' and  
68 'acceptability'.

69 **Results**

70 Nine children with Duchenne (N=3) and Becker (N=6) muscular dystrophy  
71 participated in this study. Five children (55%) successfully performed a maximal or  
72 symptom-limited exercise test which was slightly less than the standard test protocol  
73 (66%). There were no signs of rhabdomyolysis or cardiac events after both visits and  
74 muscle pain ratings remained within predefined limits of normal response. The five  
75 subjects that successfully performed a CPET showed low values of exercise capacity  
76 with cardiac pump and/or vascular limitations. The subjects demonstrated large  
77 variability in perceived burden of both test protocols possibly influenced by age and  
78 disease severity.

79 **Conclusion**

80 In children with relative mild impairments, the cardio pulmonary exercise test seems  
81 to be a feasible and relevant outcome measure for exercise capacity and  
82 cardiovascular limitations. To extend our knowledge of exercise response of children  
83 with MD further research should be focused on the pathophysiological mechanisms  
84 of cardiovascular limitations and development of safety markers for exercise.

85

86 **Keywords:** Duchenne muscular dystrophy, Becker muscular dystrophy, cardio  
87 pulmonary exercise test, feasibility, outcome parameter

88

# 1 Introduction

2 In Duchenne Muscular Dystrophy (DMD) and Becker Muscular Dystrophy (BMD), a  
3 deficiency or reduced expression of the muscular protein dystrophin results in a  
4 progressive decline of muscle strength and functional abilities during childhood (1).  
5 In addition, ten percent of these patients suffer from a dilated cardiomyopathy (2). In  
6 the ambulatory phase of DMD and BMD, pharmaceutical therapies and physical  
7 interventions aim at attenuating the disease course and optimising physical  
8 functioning and exercise capacity (3, 4). To evaluate the effect of these interventions,  
9 feasible outcome measures with good clinimetric properties are imperative (5).  
10 European guidelines recommend several functional tests such as the North Star  
11 Ambulatory Assessment (NSAA) to assess motor function, quantitative muscle testing  
12 to assess muscle strength and the six minute walk test (6MWT) to evaluate exercise  
13 capacity (1, 6). The six-minute walk test (6MWT) is considered a well-tolerated  
14 exercise test reflecting functional ability and has been used as primary outcome  
15 measure in large randomized controlled drug studies in ambulatory boys with DMD  
16 (7). The clinimetric properties of the 6MWT have been investigated in DMD by  
17 several studies and limited evidence is available that it is reliable and able to  
18 measure change in walking ability over the time span of one year (8). In other  
19 pediatric chronic conditions, the cardiopulmonary exercise test (CPET) is considered  
20 the gold-standard for assessing exercise capacity (9). In contrast with the 6MWT,  
21 CPET testing includes registration of ECG and respiratory gas exchange, by which it  
22 is possible to differentiate between different limiting factors that reduce exercise  
23 capacity, i.e. muscular, cardiac or pulmonary impairments. Besides that, the CPET  
24 uses standard criteria to evaluate the process of testing (e.g. whether the test results  
25 reflect a well performed test (10). These are both of value when evaluating disease  
26 progression and therapeutic response.

27 Despite these advantages, studies including CPET in children with muscular  
28 dystrophy are limited because of the fear of exercise induced muscle damage and  
29 cardiac events. Nevertheless, no controlled prospective studies have been  
30 performed that can underline this statement (11). Sockolov et al. studied the  
31 maximal exercise performance of ambulatory boys with DMD and controls and did  
32 not report any adverse event (12). More recently, a study in adults with BMD showed

33 that it is safe to perform a CPET as well as to perform a submaximal exercise training  
34 program (13).

35 Exercise capacity has become an important outcome measure in medical and  
36 physical interventions in boys with DMD en BMD(14). Future therapeutic regimes  
37 that potentially change disease course will demand adaptation of existing test  
38 protocols and develop new functional outcome measures. The possible additional  
39 value of the CPET to current test protocols has not been investigated yet because of  
40 the limited knowledge regarding the response on maximal exercise testing.

41 Therefore, aim of this study was to determine the feasibility of the CPET compared to  
42 the recommended test protocol for physical performance for ambulatory children with  
43 DMD and BMD. Feasibility was assessed in terms of: 1) test performance, 2) adverse  
44 events and 3) acceptability. More insight into feasibility of maximal exercise testing in  
45 children with muscular dystrophy will extend the knowledge of exercise response of  
46 these patients, and contribute to the development of appropriate outcome measures  
47 for exercise capacity.

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## 60 **Patients and Methods**

### 61 **Patients**

62 A convenience sample was taken of all patients with Duchenne Muscular Dystrophy  
63 (DMD) and Becker Muscular Dystrophy (BMD) visiting the 'Spieren voor Spieren  
64 center' of Wilhelmina Children's Hospital University Medical Centre Utrecht in the  
65 Netherlands between January and July 2012. Inclusion criteria were aged between 6-  
66 20 years, genetically confirmed diagnosis of DMD or BMD, able to follow test  
67 instructions and able to walk  $\geq 20$  meters without the use of assistive devices  
68 (15). Exclusion criteria were: concomitant medical problems that could  
69 intervene with the outcomes of the exercise tests, cardiac abnormalities disallowing  
70 cardiopulmonary exercise testing (according to the pediatric cardiologist), previous  
71 episodes of rhabdomyolysis and insufficient knowledge of the Dutch language.  
72 The research protocol was approved by the Medical Ethics Committee of the  
73 University Medical Centre Utrecht in The Netherlands. Fifteen subjects met the  
74 inclusion criteria and were invited to participate. One subject suffered from an  
75 instable heart condition and was excluded. Five subjects were not interested to  
76 participate. Eventually, nine subjects were included and informed consent was  
77 obtained from all subjects and their parents.

78

### 79 **Methods**

80 All measurements were performed within three consecutive weeks during two visits  
81 at the Wilhelmina Children's Hospital. The first visit was combined with their annual  
82 clinical follow-up appointment with the multidisciplinary team of the 'Spieren voor  
83 Spieren-center' and included the standard test protocol as described below ('visit 1').

### 84 Demographics

85 Data on medical history were obtained from medical records and included the type of  
86 muscular dystrophy (DMD versus BMD), cardiac involvement, medical treatment and  
87 co morbidities. Information on ambulation level, the use of assistive devices and  
88 education level were obtained from the participants and their parents at the first visit.  
89 Ambulation level was defined according to Hoffer (16). Participants who had  
90 independent and unrestricted ambulation without the use of assistive devices were  
91 defined as 'normal ambulant' (17).

92 Anthropometrics

93 Anthropometric measurements included body mass ((kg) and height (m) using an  
94 electronic scale (Seca, Hamburg, Germany) and a stadiometer (Ulmer Stadiometer,  
95 Ulm, Germany). Body mass index ( $\text{kg}/\text{m}^2$ ) was calculated as BM in kg divided by the  
96 squared body height in meters. Standard deviation scores were calculated for BM for  
97 age, body height for age and BMI for age using Dutch growth Charts (18).  
98 Bioelectrical impedance analysis (Bodystat Quadscan 4000, Isle of Man, British  
99 Isles), were used to determine estimated percentage of body fat (19).

100

101 Pulmonary function

102 Forced Vital Capacity (FVC) and Forced Expiratory Volume in 1 second (FEV1) were  
103 measured with a standard pulmonary function test. Maximal respiratory pressures  
104 were assessed with a Micro Respiratory Pressure Monitor (PT Medical, Leek, the  
105 Netherlands). Percentage scores were calculated based on pediatric reference  
106 values (20, 21).

107

108 Cardiac function

109 Eight subjects were under medical control of a (pediatric) cardiologist prior to the start  
110 of study. Three subjects were evaluated by their cardiologist as part of their annual  
111 follow-up by the multidisciplinary team. The most recent medical control of the other  
112 four subjects dated from 2010-2011. A resting electrocardiogram (ECG) was  
113 performed of all subjects and was evaluated by the same pediatric cardiologist (CB).

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115 Visit 1: standard test protocol

116 The 6MWT was performed on a 20-metre track in a straight corridor. Test instructions  
117 and encouragements were performed according American Thoracic Society (22). A  
118 safety chaser was used to insure the safety of the participant during the test. Levels  
119 of fatigue and muscle pain were evaluated before and after the test with a validated  
120 rating scale for children (23). Six-minute walking distance (6MWD), heart rate, fall  
121 frequency and resting periods were recorded as performance outcome measures.  
122 Predicted 6MWD was calculated using the formula of Geiger et al. based on age,  
123 length and gender (24). Motor function and maximal isometric strength were

124 measured with 'The North Star Ambulatory Assessment' (NSAA) and the handheld  
125 myometer, both according standardized protocols (25, 26).

#### 126 Visit 2: cardiopulmonary exercise test

127 Within two and three weeks after the 6MWT, the subjects performed a progressive  
128 cardiopulmonary exercise test (CPET) using an electronically braked cycle ergometer  
129 (Lode Corival Pediatric; Lode BV, Groningen, the Netherlands). The test started with  
130 1-2 min of unloaded peddling. Depending on the individual perceived 6MWD, the  
131 work rate was increased with 5-10 Watts each minute until the subject voluntarily  
132 stopped because of exhaustion, despite strong verbal encouragement of the test-  
133 leader. Subjects breathed through a face mask (Hans Rudolph Inc., Kansas City,  
134 MO) connected to a calibrated expiratory gas analysis system (Cortex Metamax B3;  
135 Cortex Medical, Leipzig, Germany) (27). A 12-lead electrocardiogram (Hewlett-  
136 Packard, Amstelveen, the Netherlands) was recorded continuously throughout the  
137 entire test. The presence of ischemic signs or arrhythmic events was assessed  
138 during exercise. Transcutaneous oxygen saturation (SpO<sub>2</sub> %) was measured using  
139 pulse oximetry (Masimo R9, Marimo BV, Tilburg, the Netherlands) at the index finger.  
140 Blood pressure was measured every 2 minutes (SunTech Tango; SunTech Medical,  
141 Morrisville, NC, USA). Levels of fatigue and muscle pain were evaluated before and  
142 after the test with a validated rating scale for children (23).

143

#### 144 Feasibility parameters

##### 145 *Measurement completion*

146 The measurement completion of the CPET was compared to the 6MWT:  
147 The 6MWT was considered successfully completed when the subject followed all  
148 ATS-guidelines on standardized instructions and encouragement (22).  
149 Measurement completion of the CPET was determined based on the Rowland's  
150 criteria for maximum exercise testing (10) (table 1). The Respiratory Exchange Rate  
151 (RER) is the ratio of exhaled carbon dioxide (VCO<sub>2</sub>) and oxygen uptake (VO<sub>2</sub>). RER  
152 reflects the peripheral gas exchange and is a useful indicator of effort and anaerobic  
153 metabolism. VO<sub>2</sub>plateau is reached when the difference between normalized VO<sub>2peak</sub>  
154 and the VO<sub>2</sub> in the last 30 seconds of the minute prior to the last minute, does not  
155 exceed 2.1 ml/kg/min. The subject performed a successful cardiopulmonary exercise  
156 test when the subjective criterion and at least two objective criteria were reached.

157 The test was considered a symptom limited exercise test when the subjective  
 158 criterion a minimal test durance of six minutes was reached. The 6MWT and the  
 159 CEPT were preliminary ended according ACSM guidelines on adverse events or  
 160 when severe muscle pain occurred during the test (rating scale score (rss) > 6) (28,  
 161 29). The minimal accepted measurement completion rate for both tests was set at  
 162 90%.

163

164 Table 1. Rowland's criteria to evaluate  $VO_{2peak}$  in healthy children

<b>Subjective criteria:</b>
<ul style="list-style-type: none"> <li>• Signs of intense effort (unsteady walking, running or biking; sweating; facial flushing; clear unwillingness to continue despite encouragement, Borg&gt;8)</li> </ul>
<b>Objective criteria:</b>
<ul style="list-style-type: none"> <li>• Heart rate (HR)&gt;180 beats/min</li> <li>• Respiratory Exchange Rate (RER) &gt; 1.00</li> <li>• <math>VO_2</math> plateau in the last minute</li> </ul>

165

166 *Adverse events*

167 The week before and after each visit, levels of experienced fatigue and muscle pain  
 168 were assessed. The subjects and their parents reported the level of muscle pain and  
 169 fatigue each morning and evening on validated rating scales for children. Two and  
 170 five days after each visit, the subject and his parents were called at home. Clinical  
 171 features of rhabdomyolysis or other complaints that could be related to the exercise  
 172 tests were evaluated (30, 31). All exercise ECGs assessed during the CPET were  
 173 evaluated on ischemic signs or arrhythmic events by the same pediatric cardiologist  
 174 (CB). A large increase in exercise induced muscle pain (rss > 6), signs of  
 175 rhabdomyolysis or ischemic signs on the electrocardiogram during the CPET were  
 176 considered as serious adverse events. The exercise tests were regarded as unsafe  
 177 if  $\geq 2$  participants experienced serious adverse events.

178

179 *Acceptability*

180 After the CPET and 6MWT, subjects were asked to determine their willingness to

181 perform the test again in the future on a 'Visual Analogue Scale' ranging from 0 ('not at  
182 all') to 10 ('completely').

183

#### 184 Exercise response

185 The exercise response of subjects that successfully completed a cardiopulmonary  
186 exercise tests were further analysed. Peak values of Oxygen Uptake ( $VO_{2peak}$ ),  
187 normalized Oxygen Uptake ( $VO_{2peak}/kg$ ), Work Rate ( $W_{peak}$ ), normalized Work Rate  
188 ( $W_{peak}/kg$ ), were determined based on the average value over the last 30 seconds of  
189 the test. The oxygen uptake eliciting the ventilatory threshold (VT) was determined by  
190 using the criteria of an increase in both the ventilatory equivalent of oxygen ( $VE/VO_2$ )  
191 and end tidal pressure of oxygen ( $PETO_2$ ) with no increase in the ventilatory  
192 equivalent of carbon dioxide  $VE/VCO_2$  and end tidal pressure of carbon dioxide  
193 ( $PETCO_2$ ) (32).  $O_2$ -pulse is the amount of oxygen consumed per heartbeat and was  
194 calculated by dividing  $VO_{2peak}$  by  $HR_{peak}$ . Low  $O_2$ -pulse reflects either reduced stroke  
195 volume or reduced peripheral oxygen uptake (33).

196 Work efficiency ( $\Delta O_2 / \Delta WR$ ) reflects the metabolic cost of performing external work  
197 and was calculated by dividing the difference between  $VO_{2peak}$  and  $VO_{2unloaded}$  by  
198  $W_{peak}$ . Low work efficiency might reflect reduced oxygen delivery or local hypo-  
199 perfusion. Predicted values were obtained from established reference values (34).

200 The algorithm from Eschenbacher and Maninna, adjusted for the pediatric population  
201 was used to distinguish between cardiac, pulmonary, musculoskeletal and  
202 motivational factors to explain exercise performance (35, 36).

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204

#### 205 **Data analysis**

206 Quantitative descriptive statistics were used to present baseline characteristics,  
207 feasibility parameters and exercise outcome (Mean  $\pm$  SD).

208 Group mean scores of reported muscle pain and fatigue over the first three and  
209 seven days were compared at baseline between visit 1 and 2 and between weeks  
210 pre- and post-visits. The mean change scores of pain and fatigue were compared  
211 between visit 1 and visit 2. Data were checked for normal distribution with the  
212 Shapiro-Wilk test. Students paired t-test and 95% confidence intervals were used for

213 normal distributed data. The Wilcoxon signed-rank test was used in case of skewed  
214 data. Significance level was set at  $P < 0.05$ .

215

## 216 **Results**

### 217 Inclusion

218 The study population consisted of nine children and adolescents with DMD (N=3) and  
219 BMD (N=6). Demographic and anthropometric data are shown in table 2. None of the  
220 subjects previously experienced episodes of rhabdomyolysis. One subject with BMD  
221 (6) was known with a dilated cardiomyopathy (DCM) with a reduced left ventricular  
222 ejection fraction (28%). One subject showed borderline normal cardiac function  
223 (extreme heart-axis, Fraction Shortening=31%, LVEDd=55mm) (37). All other  
224 subjects showed normal cardiac function on resting ECG. Four subjects were treated  
225 with intermittent prednisone therapy and one subject with ACE-inhibitors. Approval of  
226 both the neurologist and the cardiologist was obtained for all subjects before  
227 assessment of the CPET. None of the subjects suffered from co morbidities. The  
228 level of ambulation was mild to moderately impaired; normal ambulatory (N=5),  
229 community ambulatory (N=2) and household ambulatory (N=2). To cover larger  
230 distances within the community two subjects used a regular bike, three subjects used  
231 an electric bicycle and three subjects used a wheelchair. All but one subject followed  
232 regular education.

233

234 Table 2. Baseline characteristics

<b><i>Anthropometrics</i></b>	<b>Mean (SD)</b>
Age (yrs)	10.6 (4.7)
Weight (kg)/Z-scores	37.0 (16.9)/-0.11(1.1)
Height (cm)/Z-scores	140.1 (23.7)/-0.85 (0.6)
BMI (kg/m <sup>2</sup> )/Z-scores	17.8 (3.1)/0.46 (1.0)
Fat Percentage (%)	13.0 (8.9)

<b><i>Pulmonary function</i></b>	<b>Mean (SD)</b>
FEV1 (%)	94.4 (16.4)
FVC (%)	86.9 (13.3)
FEV1 / FVC (%)	108.6 (8.5)
MIP (%)	93.2 (36.5)
MEP (%)	73 (18.2)

235

236 Feasibility parameters

237 *Measurement completion (table 3):*

238 Two-third (67%) of the subjects performed the 6MWT according all ATS-guidelines.

239 In two subjects continuous encouragement was required, in addition to the  
 240 standardized phrases, to motivate them to continue walking. Two subjects had to  
 241 rest one or two times during the test. The test was ended preliminary in one subject  
 242 because of extreme fatigue. There were no fall incidents. In one-third (33%) of the  
 243 subjects the cardiopulmonary exercise test was successfully completed according  
 244 Rowland's criteria (6,7,9). Three subjects performed a symptom-limited exercise test  
 245 (2,4,5) and three subjects demonstrated poor effort (1,3,8).

246 Table 3. Individual measurement completion according ATS guidelines (6MWT) and Rowland's Criteria

Participants	6MWT		CPET						
	instructions	Standardized encouragement	Signs of intense effort	HR <sub>peak</sub>	RER <sub>peak</sub>	VO <sub>2</sub> peak – VO <sub>2</sub> last minute (ml/kg/min)	Rowland's Criteria (out of 3)	Test duration (min)	Eschenbacher
<b>DMD</b>									
1 (CA)	+	-	-	126 <sup>#</sup>	1.05	- 2.77 <sup>#</sup>	1	6.83	Decreased effort.
2 (HA)	+	+	+	136 <sup>#</sup>	1.13	0.01	2	2.00	Not applicable
3 (CA)	+	-	-	140 <sup>#</sup>	0.87 <sup>#</sup>	1.18	1	7.33	Decreased effort
<b>BMD</b>									
4 (NA)	+	+	+	167 <sup>#</sup>	1.42	3.24 <sup>#</sup>	1	12.4	Cardiac pump limitation and circulatory limitation
5(HA)	+	+	+	146 <sup>#</sup>	0.88 <sup>#</sup>	1.44	1	6.00	Cardiac pump limitation and circulatory limitation
6 (NA)	+	+	+	174 <sup>#</sup>	1.31	1.49	2	15.00	Cardiac pump limitation and circulatory limitation
7 (NA)	+	+	+	178 <sup>#</sup>	1.15	0.16	2	8.16	Moderate or severe cardiac 'pump' limitation
8 (CA)	+	+	-	141 <sup>#</sup>	1.00	12.46 <sup>#</sup>	1	6.00	Decreased effort
9 (NA)	+	+	+	195	1.06	0.10	3	10.33	No obvious cardiac or circulatory limitations

247 Legend: NA = Normal Ambulatory; CA =Community Ambulatory; HA = Household Ambulatory; <sup>#</sup> = not reaching HR 180.

248 (210-age), or RER > 1.00 or VO<sub>2</sub>plateau; <sup>##</sup> = not reaching both HR >180, RER >0.99 and VO<sub>2</sub>plateau

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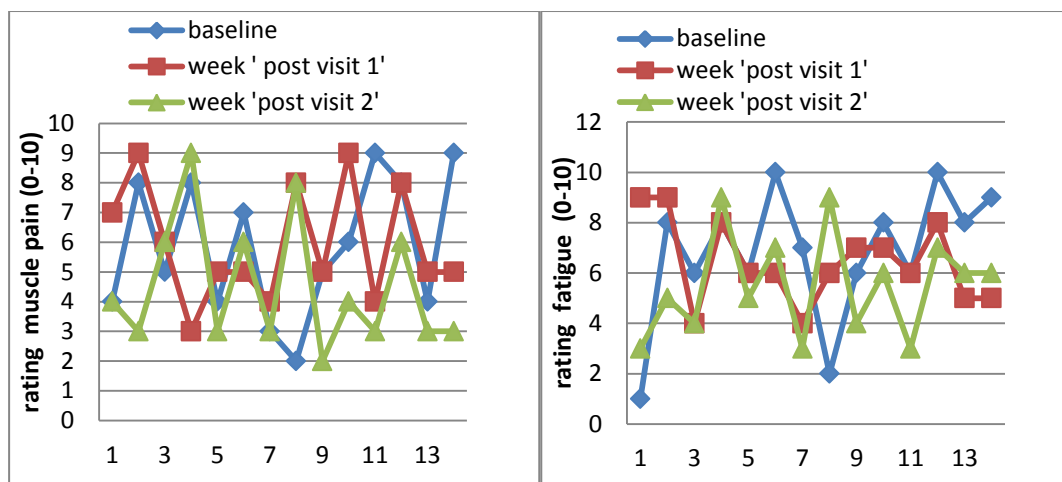
250



251 *Adverse events:*

252 None of the subjects preceded the predefined cut-off value of an increase of 6 points  
253 on the rating scale for muscle pain during both exercise tests. Subject 2 showed a  
254 significant different pattern of pain and fatigue scores on the self-reporting rating  
255 scales and was therefore analysed separately: Levels of pain and fatigue were  
256 remarkably high and showed large fluctuations. There was no clear difference  
257 noticeable between measurements at baseline and post visits (figure 1).

258 Figure 1. Pain and fatigue ratings of subject 2



259

260 In the other subjects (N=8), baseline levels of muscle pain and fatigue during the first  
261 three and seven days were not significant different between visit 1 and visit 2 ( $p=$   
262 0.08-0.17). In comparison to levels at baseline, no significant change in pain or  
263 fatigue occurred after visit 1 and visit 2 ( $p=$  0.2-0.9). There was no significant  
264 difference between the change score 'muscle pain 3-days' visit 1 versus visit 2 (-0.5  
265 (1.6), -1.9 – 0.99,  $p=.44$ ), 'muscle pain 7-days' visit 1 versus visit 2 (-0.5 (1.1), -1.5 –  
266 0.5,  $p=.27$ ), change score 'fatigue 3-days' visit 1 versus visit 2 (0.1 (1.7), -1.5 – 1.7,  
267  $p=.89$ ) and change score 'fatigue 7-days' visit 1 versus visit 2 (-.1 (0.6), - 0.6 – 0.4,  
268  $p=.65$ ).

269 Interview post-Visit 1 (table 4):

270 One subject (2) experienced muscle pain of the calves, back and neck (rss=6-9),  
271 malaise, agitation and fatigue in the first two days. In the following days all  
272 complaints improved reaching normal values. One subject (7) reported some muscle  
273 pain of his calves the next morning (rss=1). On day 1, 2 and 4 he experienced  
274 several incidents of severe muscle pain/cramps (rss=10) of the calves when

275 performing intensive physical activities such as swimming/walking long distances and  
 276 playing. One subjects (8) experienced muscle pain of the dorsal side of his knees  
 277 (rss=5) on day 2 after his tennis and swimming classes. One subject (5) fell one time  
 278 the evening one day after the visit. His mother related this to general fatigue.  
 279 Urine colour remained normal in all subjects.

280 Interview post visit 2 (table 4):

281 One subject (2) experienced muscle pain of the calves and legs (rss=6), agitation  
 282 and fatigue in the first two days. In the following days his muscle pain and agitation  
 283 improved and reached normal values. One subject experienced some muscle pain of  
 284 his upper legs (rss=3) a couple of hours after the test. The same evening he fell one  
 285 time. In the past he had experienced several fall incidents during a period of  
 286 intensive muscle power training. He had no other complaints in the following week.  
 287 One other subject (5) fell two times the same evening of the test. In the following five  
 288 days he fell once more the morning after swimming classes. Urine colour remained  
 289 normal in all subjects.

290

291 Table 4. Clinical features of rhabdomyolysis

	1	2	3	4	5	6	7	8	9
<b>Local features</b>									
Muscle pain	-	+	-	-	-	+	+	+	-
Tenderness	-	-	-	-	-	-	+	-	-
Swelling	-	-	-	-	-	-	-	-	-
Bruising	-	-	-	-	-	-	-	-	-
Weakness	-	-	-	-	+	+	-	-	-
<b>Systemic features</b>									
Tea-colored urine	-	-	-	-	-	-	-	-	-
Fever	-	-	-	-	-	-	-	-	-
Malaise	-	+	-	-	-	-	-	-	-
Nausea	-	-	-	-	-	-	-	-	-
Emesis	-	-	-	-	-	-	-	-	-
Confusion	-	-	-	-	-	-	-	-	-
Agitation	-	+	-	-	-	-	-	-	-
Delirium	-	-	-	-	-	-	-	-	-
Anuria	-	-	-	-	-	-	-	-	-

292

293 All subjects showed a normal exercise ECG during the CPET. There were no  
 294 ischemic signs or arrhythmias. None of the subjects reported syncope, chest pain,

295 dizziness or other complaints. Blood pressure showed normal response during the  
 296 exercise test. Oxygen saturation remained stable in all subjects.

297

298 *Acceptability:*

299 The acceptability of both exercise tests showed large variability between the  
 300 subjects. The willingness to perform the 6MWT again in the future ranged from 1.7-  
 301 10 with a mean score of 6.1 (3.5). Older children with relative mild physical  
 302 impairments found the 6MWT not to be representative for their exercise capacity and  
 303 therefore not useful. The Willingness to perform the CPET in the future ranged from  
 304 0-10 with a mean score of 3 (4.3). Younger children in general and children with  
 305 moderate impairments experienced the extensive measurements during the test  
 306 (ECG, blood pressure, oxygen mask) as a burden.

307

308 Exercise performance

309 *Comparison CPET - 6MWT*

310 Five subjects successfully completed both the 6MWT and the CPET (Table 5). One  
 311 subject showed normal results on both the 6MWT and the CPET (9). Two subjects  
 312 demonstrated normal 6MWT values but moderately reduced exercise capacity on the  
 313 CPET (6,7). One subject showed a mildly reduced 6MWD but severely reduced  
 314 exercise capacity on the CPET (4). In one subject the 6MWT and CPET were  
 315 respectively moderately and severely reduced (5). All subjects showed higher peak  
 316 heart rate and experienced fatigue during the CPET, than during the 6MWT.

317

318 Table 5. Exercise response

	4	5	6	7	9
<b>6MWT</b>					
6MWD	470	346	584	442	550
6MWD%	66	54	85	83	89
HR <sub>peak</sub>	109	130	135	145	142
Borg scale Fatigue peak	3	6	6	3	2
<b>CPET</b>					
VO <sub>2peak</sub> %pred	49,7	19,8	58,1	56,6	94,8
VO <sub>2peak</sub> /kg %pred	58,0	19,1	58,6	64,1	110,9
W <sub>peak</sub> %pred	49,5	24,6	41,5	?	99,6

$W_{peak} \%pred/kg$	54,6	24,4	47,4	?	106,4
O <sub>2</sub> -pulse (ml)	9,52	2,17	11,02	3,18	7,06
O <sub>2</sub> -pulse (%pred)	57,4	26,2	64,4	63,7	93,8
$\Delta O_2/W_{peak}$	9,8	3,8	9,7	5,8	13
$\Delta O_2/W_{peak}(\%pred)$	105,6	41	104,2	62,5	139,5
HR <sub>peak</sub>	167	146	174	178	195
Borg scale Fatigue peak	9	8	9	5	6

319 Exercise response (CPET, 6MWT): normal (> 82%), mildly reduced (61-81%), moderately ~ (51-60%) (5), severely~ (<50%).

320

### 321 *CPET parameters*

322 The mean test endurance including unloaded peddling was 10.4 (3.5) minutes. The  
 323 reason to end the test was fatigued legs (N=4) and shortness of breath (N=1). Two  
 324 subjects reported relative low levels of experienced fatigue despite clear signs of  
 325 intense effort. One subject demonstrated normal maximal exercise capacity and  
 326 physiological exercise parameters (9). All other subjects showed reduced maximal  
 327 exercise capacity with possible signs of cardiac pump and/or circulatory limitations  
 328 (Table 3). The absolute and normalized VO<sub>2peak</sub> and Work Rate were reduced in all  
 329 subjects. Three subjects showed a reduced ventilatory threshold and one subject did  
 330 not reach VT. One subject showed resting bradycardia (6). All four subjects  
 331 demonstrated low peak heart rate values. There was an increased heart rate  
 332 response in all four subjects. The O<sub>2</sub>-pulse was reduced; one subject did not show  
 333 any increase of O<sub>2</sub>-pulse during the test (5). In the other three subjects there was no  
 334 initially progressive rise of O<sub>2</sub>-pulse at the start of the test (4,6,7). The work efficiency  
 335 was reduced in two subjects (5, 7). None of the subjects showed pulmonary  
 336 limitations reflected by normal ventilatory efficiency, ventilatory reserve and stable  
 337 levels of SpO<sub>2</sub> during the test (table 6).

338

339 Table 6. Cutt-off points in the algorithm by Eschenbacher and Maninna

	Used cut off points*	Subjects	Indicative for
VO <sub>2peak</sub> pred. (%)	<90%	1-8	Low VO <sub>2peak</sub>
VR	<30%	-	Pulmonary limitation
VE/VCO <sub>2peak</sub>	>36	-	Decreased ventilatory efficiency
HRR	>(-6.25 x age)+150	2,4,5,6,7	Cardiac pump limitation (cardiomyopathy/deconditioning)
AT%	<40	4,5,6	Circulatory or 'pump' limitation

340 VR=Ventilatory Reserve, HRR = Heart Rate Response; AT = anaerobic threshold

341 \*adapted cut off points(36)

342

## 343 **Discussion**

344 The feasibility of the cardiopulmonary exercise test (CPET) was investigated in a  
345 heterogeneous sample of children and adolescents with Duchenne and Becker  
346 muscular dystrophy, by comparing exercise responses to the CPET with the standard  
347 protocol of functional tests for this population. No major adverse events occurred with  
348 respect to exercise induced muscle pain, signs of rhabdomyolysis or cardiac events  
349 and all subjects completed the study without functional deterioration. The  
350 measurement completion rate of the CPET was somewhat lower than the six minute  
351 walk test (6MWT). Both tests did not reach preliminary defined rates of minimal  
352 accepted measurement completion. Most subjects that successfully performed the  
353 CPET, showed low values of exercise capacity with signs of cardiac pump and/or  
354 vascular limitations. There was a large variability in perceived burden of both  
355 exercise tests possibly caused by age and disease severity.

356

### 357 Adverse events

358 None of the subjects demonstrated evident features of exercise induced  
359 rhabdomyolysis. Especially, there were no reports of tea-colored urine, a usually first  
360 sign of rhabdomyolysis (30) and previously reported in boys with DMD (38).  
361 Moreover, there was no deterioration of physical status at the end of the study.  
362 Nevertheless, several subjects did present with physical complaints during the study.  
363 One subject, with significant more physical impairments and higher levels of fatigue  
364 and muscle pain at baseline in comparison with others, demonstrated increased  
365 levels of agitation and malaise after both visits. Several other subjects with minimal  
366 complaints at baseline, presented with transient local complaints of muscle pain,  
367 cramp and fall incidents. All subjects had experienced these complaints before,  
368 while participating in high intensity sports or leisure activities. The variability of  
369 complaints between the subjects might indicate a relationship between exercise  
370 intensity, functional status and muscle response. The clinical meaning of these  
371 complaints and which pathophysiological mechanisms are primary responsible  
372 remain uncertain (39). Physical complaints following sport participation, such as  
373 muscle pain and muscle cramps, are also frequently reported in the healthy  
374 population which implicates a role of normal physiological responses on exercise

375 stimuli (40). The threshold, at which exercise is no longer beneficial but causes injury  
376 or other side-effects, is probably different both between muscular dystrophy patients  
377 as in comparison with healthy subjects. Exercise associated muscle cramps (EAMC)  
378 for example, is a common complaint in both muscular dystrophy patients and healthy  
379 athletes (40, 41). Several authors suggest an altered neuromuscular control as a  
380 result of the development of muscle fatigue to be the principal mechanism for the  
381 aetiology of EAMC (42, 43). Children with muscular dystrophy are likely to be more  
382 prone to develop EAMC given the decreased exercise capacity and altered walking  
383 pattern (44, 45) Performing muscular exercise in a shortened position, as children  
384 with MD do when walking on their toes, increases the likelihood of inducing  
385 cramp(46). This mechanism was strikingly illustrated by one of the subjects that  
386 suffered from muscle cramps in the past which resolved by using ankle splints during  
387 the night. During this study muscle cramps reoccurred after two consecutive days of  
388 intensive sport participation. The altered neuromuscular control resulting in muscle  
389 cramps in this subject and fall incidents in other subjects could primarily be caused  
390 by an increased fatigability leading to a 'cramp prone state' after the exercise tests.  
391 In this study, a threshold for 'normal versus pathological exercise induced muscle  
392 pain was set at an increase of 6 point on a self-rating scale of muscle pain. This cut-  
393 off point was chosen based on the study of Robertson et al. which demonstrated that  
394 the reported rate of muscle hurt in healthy children after lower extremity resistance  
395 exercise ranged from 3.2-6.7 (23). In clinical practice, biological markers such as  
396 serum levels of Creatine Kinase (CK) and Myoglobine (Mb) or muscle biopsy are  
397 used to examine possible muscle damage (47). Serum levels of muscle proteins in  
398 muscular dystrophy patients are higher at baseline and show more fluctuation than in  
399 healthy peers and seem therefore less reliable to evaluate exercise-induced muscle  
400 damage in this population (48, 49). Because of these validity concerns it was decided  
401 not to use biological markers in this study. Therefore conclusive evidence that the  
402 CPET can be safely performed in children with muscular dystrophy could not be  
403 provided. Nevertheless, intensive clinical monitoring of the subjects by means of  
404 questionnaire on muscle pain and fatigue, telephone interviews two and five days  
405 post-testing and strict monitoring of cardiac functioning during the exercise tests did  
406 not reveal clear signs of exercise induced muscle damage. To extend our knowledge  
407 on the exercise response of children with muscular dystrophy and provide patients  
408 and caregivers with adequate exercise prescriptions further research is warranted to

409 determine valid and feasible markers for exercise induced muscle damage in this  
410 population.

411

#### 412 Completion rate:

413 Two-third of the subjects did not reach the criteria for maximal cardiopulmonary  
414 exercise testing as defined by Rowland et al. This can be explained by motivational  
415 and physiological reasons. Three subjects ended the CPET premature without signs  
416 of intense effort. Two of them, one six and one seven year old boy with DMD, also  
417 needed additional encouragement during the 6MWT indicating general test  
418 performance difficulties. Behavioral problems are frequently reported in the DMD  
419 population (50). The relative young age of these subjects however, might have  
420 influenced their performance as well. The acceptability of the CPET was generally  
421 low in the younger subjects. They especially experienced the attached measurement  
422 equipment such as the face mask and near infra-red spectroscopy probes as a  
423 burden. Three subjects did perform a maximal effort but failed to reach all other  
424 exercise criteria. This can for a part be explained by the fact that the Rowland's  
425 criteria are primarily developed to detect cardiopulmonary limitations in healthy  
426 children. In subjects with neuromuscular disorders and progressive muscle  
427 weakness, exercise capacity will be increasingly reduced by peripheral limitations  
428 without extensively stressing the cardiopulmonary system. For the present,  
429 algorithms for the interpretation of exercise data in children with neuromuscular  
430 diseases are not available. To further explore the value of maximal exercise capacity  
431 as an outcome parameter in this population additional objective criteria to evaluate  
432 the exercise response on peripheral level are needed (51).

433

#### 434 Validity

435 Four out of five children with BMD that successfully performed both exercise tests  
436 demonstrated lower values of exercise capacity on the CPET in comparison to the  
437 6MWT. Two subjects demonstrated a moderate reduced exercise capacity on the  
438 CPET despite normal results on the 6MWT. These results support the findings of  
439 other studies that the CPET and 6MWT measure different aspects of exercise  
440 capacity in less impaired children (52). All subjects with a reduced maximal exercise

441 capacity demonstrated an increased heart response, reduced anaerobic threshold  
442 and low O<sub>2</sub> pulse, all possible signs of cardiac ‘pump’ or circulatory limitations (35).  
443 Nevertheless, only one subject was known with a dilated cardiomyopathy. One  
444 subject, with mild functional limitations (community ambulatory) and borderline  
445 cardiac abnormalities on echocardiogram, did show a severely reduced maximal  
446 exercise capacity. Further research on the additional value of the CPET to detect first  
447 cardiac involvement and evaluate disease progression in patient with similar clinical  
448 presentation seems therefore valuable. Two other subjects with relative poor muscle  
449 function but no cardiac abnormalities, showed reduced mechanical efficiency  
450 ( $\Delta VO_2/\Delta WR$ ). Groen et al. reported a reduced mechanical efficiency in children with  
451 Juvenile Dermatomyositis and suggested that the  $\Delta VO_2/\Delta WR$ -slope might be a  
452 sensitive marker for local hypo-perfusion (53). Several studies on DMD patients have  
453 reported an altered blood flow regulation in exercising skeletal muscle, based on the  
454 down regulation of neuronal nitric oxide synthase (nNOS), a dystrophin-associated  
455 protein (45, 54). In healthy subjects, nNOS produces the signaling molecule nitric  
456 oxide (NO) which modulates the vasoconstrictor response of sympathetic reflex  
457 activation at the start of exercise. Sanders et al. used near infrared spectroscopy and  
458 demonstrated that nNOS deficient DMD patients fail to attenuate normal contraction-  
459 induced local vasoconstriction during a repeated isometric handgrip strength exercise  
460 which eventually leads to functional muscle ischemia. Tosetti et al. investigated  
461 muscle metabolism of mild Becker Dystrophy patients with magnetic resonance  
462 spectroscopy and found an increased reliance upon anaerobic metabolism during an  
463 incremental isometric strength exercise of the calve muscles but normal aerobic  
464 metabolism during recovery (55). Analysis of the NIRS measurements that were  
465 performed in this study during the cardiopulmonary exercise tests will hopefully give  
466 more insight in the relationship between local hypo perfusion and decreased aerobic  
467 exercise capacity in moderate to severely affected children. Future studies on muscle  
468 metabolism during aerobic exercise might contribute to a further understanding of  
469 exercise limitations in muscular dystrophy.

470

#### 471 Limitations

472 The DMD population was relatively underrepresented in this study sample. Although  
473 DMD and BMD share a similar pathophysiological background and some children



474 with genetic confirmed Becker dystrophy present with a DMD phenotype, caution  
475 should be taken when generalising the results of this study to the DMD population  
476 (56). Given the fact, that no major adverse events were found in this study or  
477 reported in other studies, further research on cardio pulmonary exercise testing in a  
478 larger sample of ambulatory children with DMD could be of interest. However, the  
479 limited inclusion of children with DMD in this study might also indicate that the CPET  
480 is less relevant for this population, in comparison to other chronic diseases. A large  
481 part of the children with DMD that regularly visit our medical centre did not meet the  
482 inclusion criteria with respect to ambulatory status. The children with DMD that did  
483 participate showed motivational problems or advanced disease progression which  
484 negatively influenced test performance. Similar test performance difficulties are  
485 reported about other functional measures. This might reflect DMD specific behaviour  
486 problems what underlines the difficulty of finding feasible and valid outcome  
487 parameters for exercise capacity in this population (50). Further research on  
488 exercise testing and maximal exercise response should therefore primarily be  
489 focussed on the development of appropriate exercise test protocols for this specific  
490 population.

491

## 492 **Conclusion**

493 In children with relative mild impairments due to muscular dystrophy (MD), the  
494 cardiopulmonary exercise test seems to be a feasible and relevant outcome measure  
495 for exercise capacity and cardiovascular limitations. To extend our knowledge of  
496 exercise response of children with MD further research should be focused on the  
497 pathophysiological mechanisms of cardiovascular limitations and development of  
498 safety markers for exercise.

499

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501

502

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